A Concrete Introduction to Abstract (Co)Inductive Datatypes

TABLEAUX 2015

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Overview

Part I: Datatypes

Part II: Codatatypes

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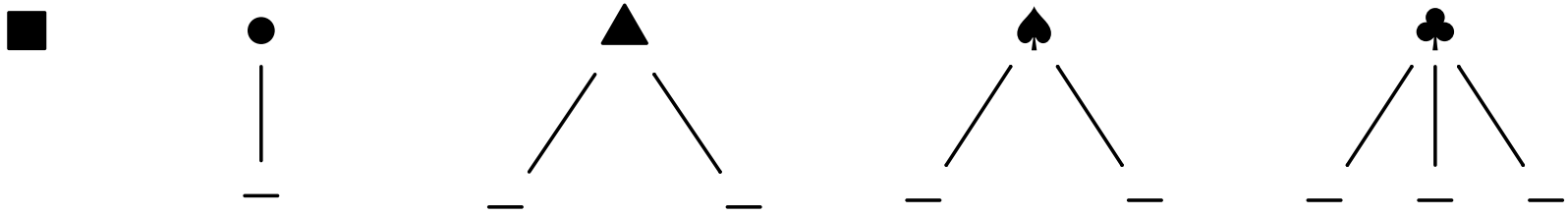
Recall: It's All About Shape and Content

Shapes



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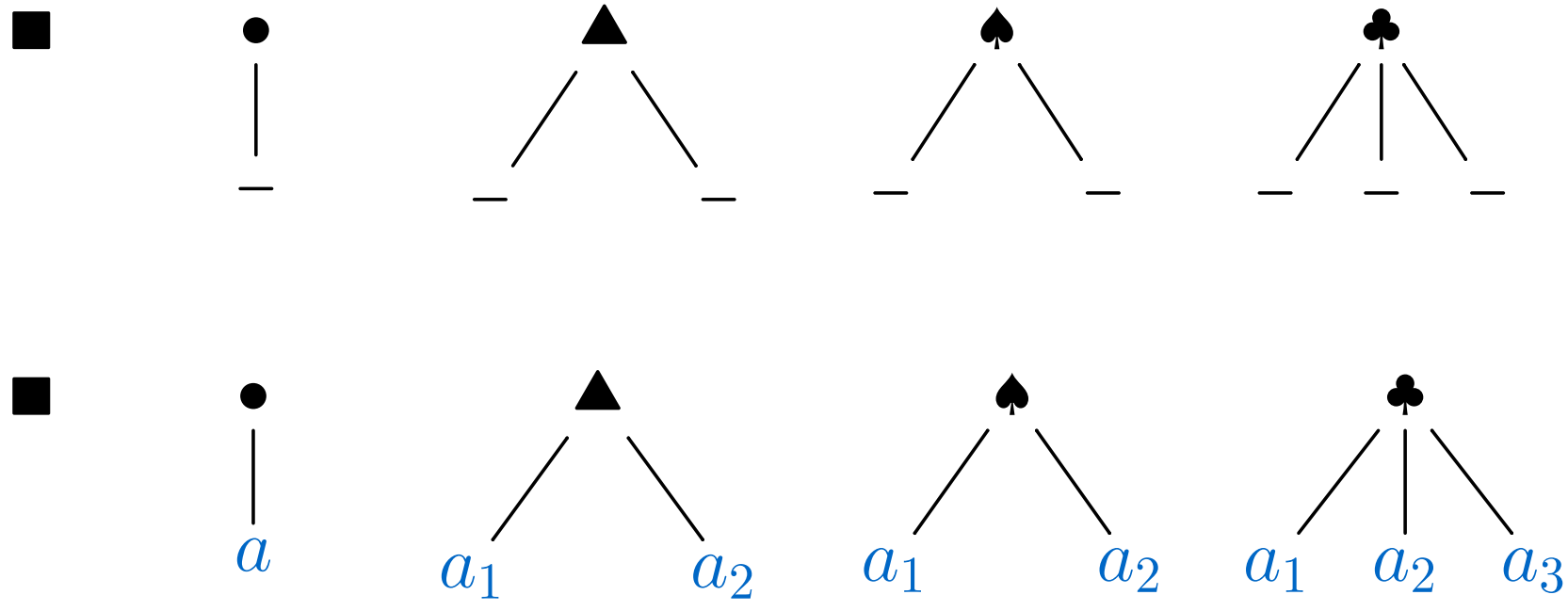

Shapes filled with content from a set $A = \{a_1, a_2, \ldots\}$

Recall: Natural Functors on Set

Set = the class of all sets

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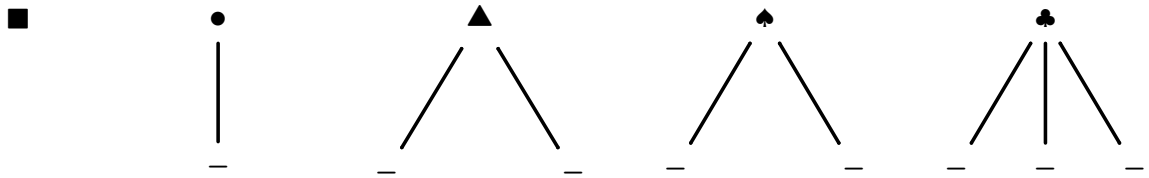

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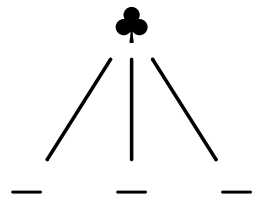

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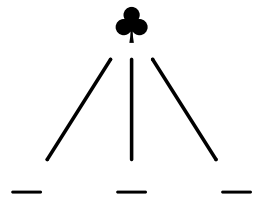

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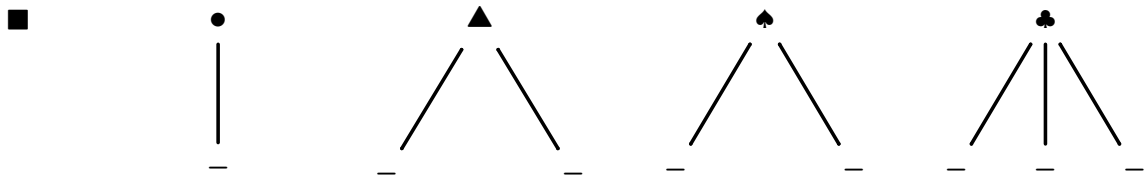

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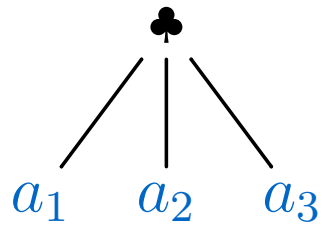


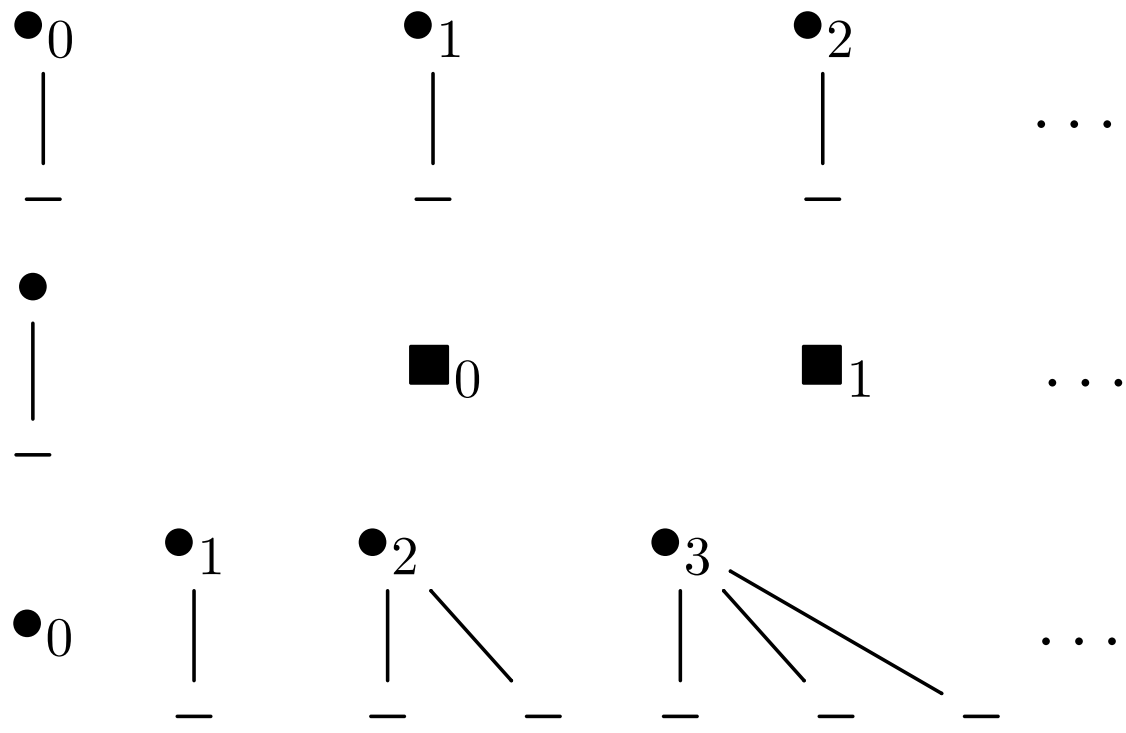
a filling with content from A, say

Recall: Examples of Natural Functors

$\mathsf{F}\ A = \mathbb{N} \times A$

$\mathsf{F}\ A = \mathbb{N} + A$

$\mathsf{F}\ A = \mathsf{List}\ A$



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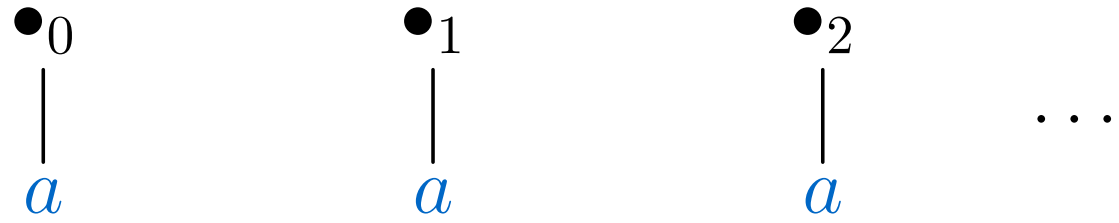

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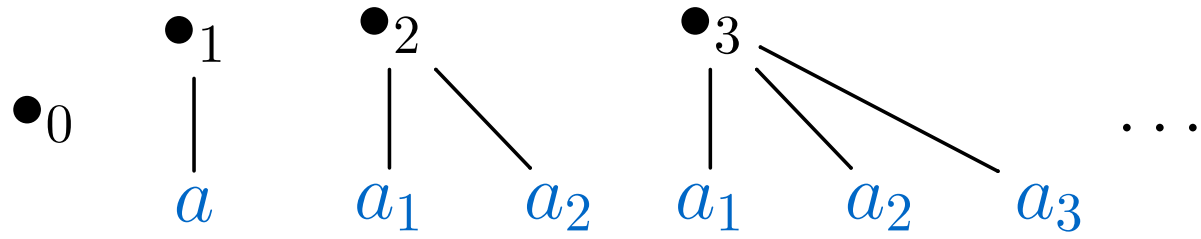
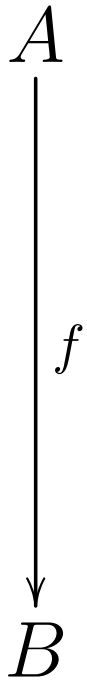


Functorial Action (Mapper)



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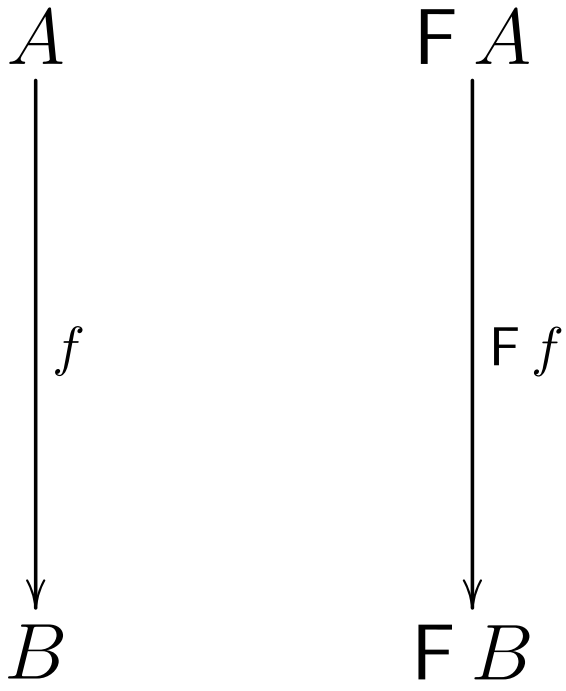

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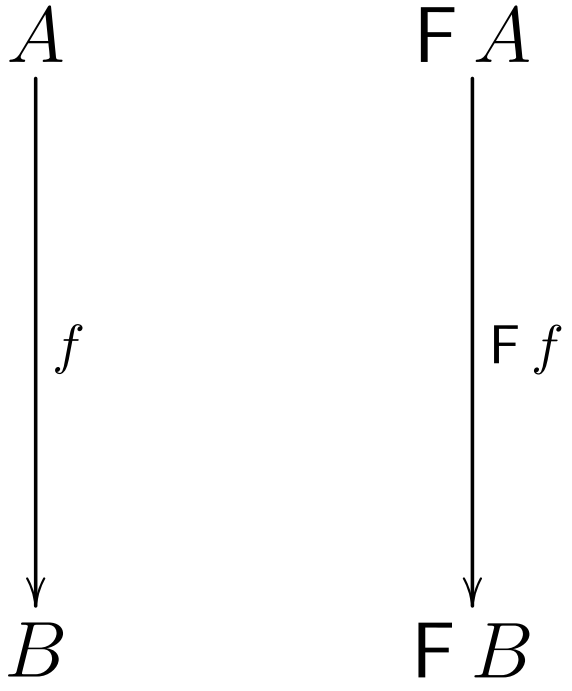

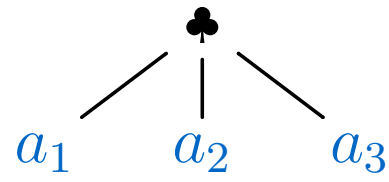

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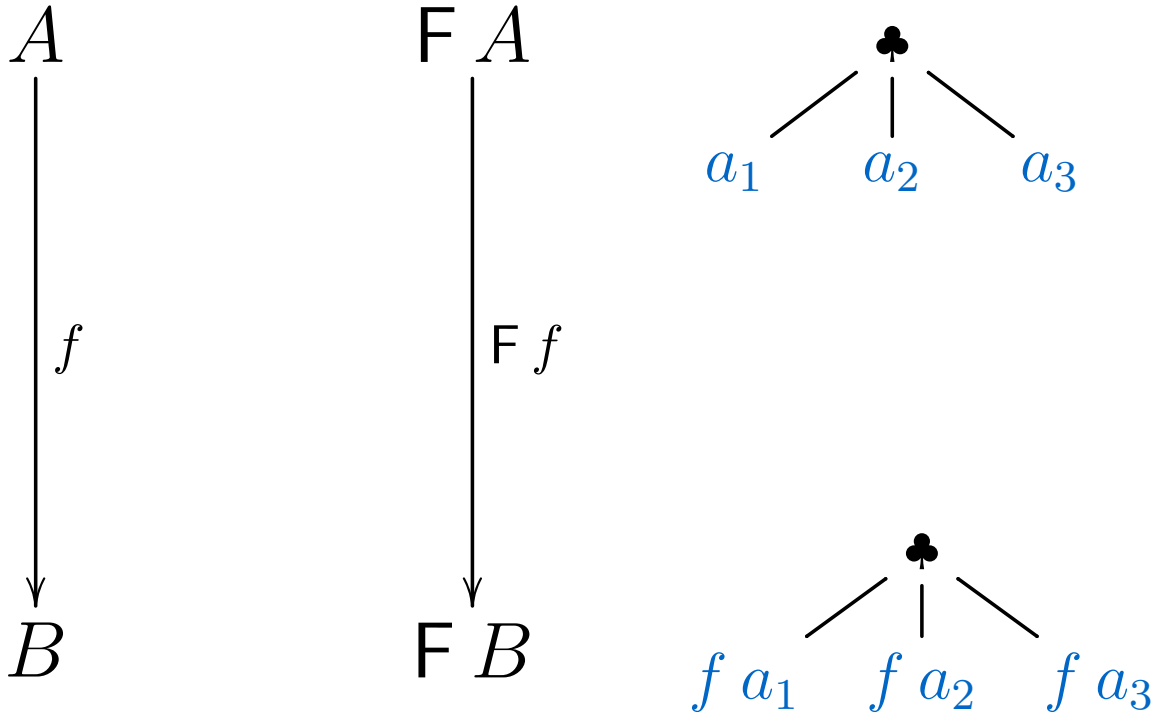

Keep the same shape

Apply f to the content

Atoms

$$\mathsf{F}\ A \xrightarrow{\mathsf{Fatoms}_A} \mathcal{P}\ A$$

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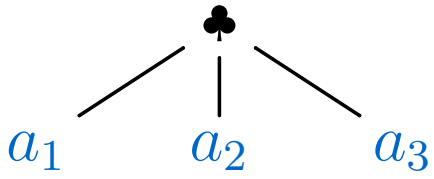

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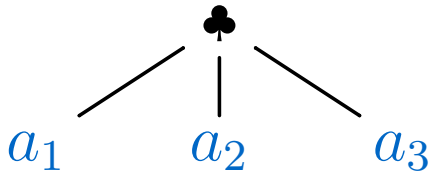

$\{a_1, a_2, a_3\}$

$$\mathsf{F}\ A \xrightarrow{\mathsf{Fatoms}_A} \mathcal{P}\ A$$

Natural Functors

$\mathsf{F} : \mathsf{Set} \to \mathsf{Set}$

Functoriality: For all $A \overset{f}{\to} B$, we have $\mathsf{F}\ A \overset{\mathsf{F}\ f}{\Rightarrow} \mathsf{F}\ B$ such that:

$$\mathsf{F}\ \mathsf{id}_A = \mathsf{id}_{\mathsf{F}\ A}$$
$$\mathsf{F}\ (g \circ f) = \mathsf{F}\ g \circ \mathsf{F}\ f$$

Naturality: For all A, we have $\mathsf{F}\ A \overset{\mathsf{Fatoms}_A}{\Rightarrow} \mathcal{P}\ A$ such that, for all $A \overset{f}{\to} B$:

$$\mathsf{image}\ f \circ \mathsf{Fatoms}_A = \mathsf{Fatoms}_B \circ \mathsf{image}\ f$$

Examples

$A \overset{f}{\Rightarrow} B$ $\qquad$ $\mathsf{F}\ A \overset{\mathsf{F}\ f}{\Rightarrow} \mathsf{F}\ B$ $\qquad$ $\mathsf{F}\ A \overset{\mathsf{Fatoms}}{\Rightarrow} \mathcal{P}\ A$

$\mathsf{F}\ A = \mathbb{N} \times A$

$\mathsf{F}\ f\ (n, a) = (n, f\ a)$
$\mathsf{Fatoms}\ (n, a) = \{a\}$

$\mathsf{F}\ A = \mathbb{N} + A$

$\mathsf{F}\ f\ (\mathsf{Left}\ n) = \mathsf{Left}\ n$ $\qquad$ $\mathsf{F}\ f\ (\mathsf{Right}\ a) = \mathsf{Right}\ (f\ a)$
$\mathsf{Fatoms}\ (\mathsf{Left}\ n) = \varnothing$ $\qquad$ $\mathsf{Fatoms}\ (\mathsf{Right}\ a) = \{a\}$

$\mathsf{F}\ A = \mathsf{List}\ A$

$\mathsf{F}\ f\ (a_1 \cdot a_2 \cdot \ldots \cdot a_n) = f\ a_1 \cdot f\ a_2 \ldots \cdot f\ a_n$
$\mathsf{Fatoms}\ (a_1 \cdot a_2 \cdot \ldots \cdot a_n) = \{a_1, a_2, \ldots, a_n\}$

Recall: Iterating Shape Composition

Natural functor $F : \mathsf{Set} \to \mathsf{Set}$

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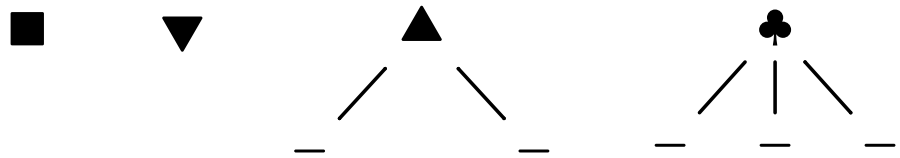
The shapes of F:



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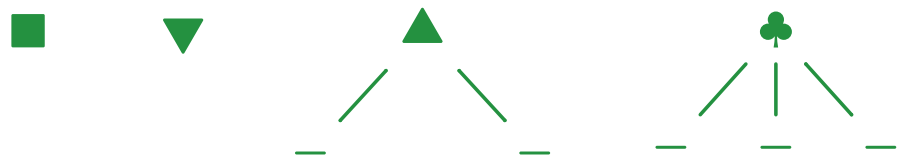

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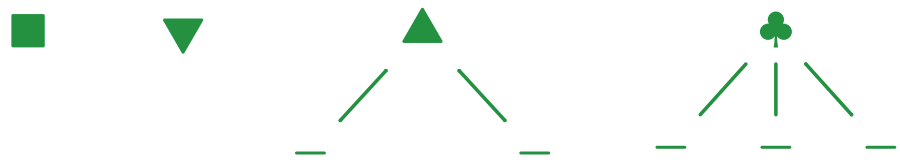

Put them together by plugging in shape for content slot

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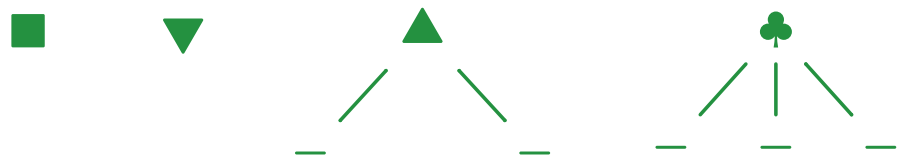

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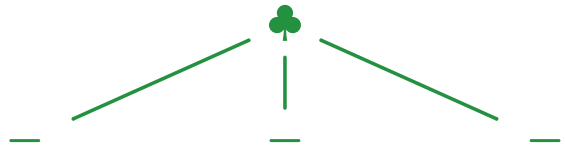

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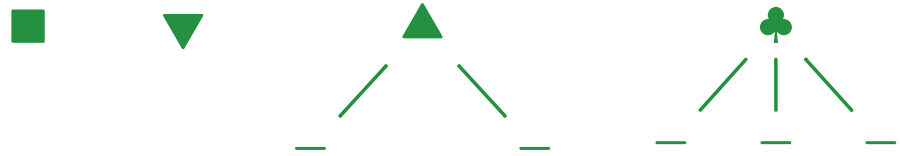

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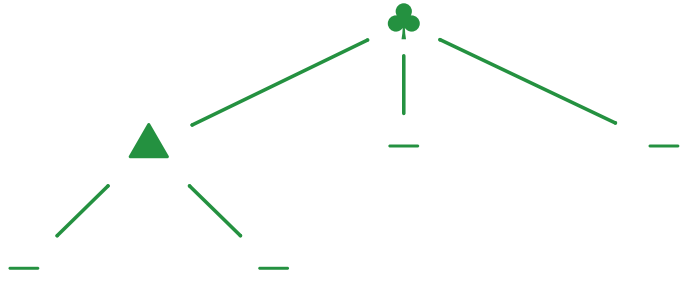

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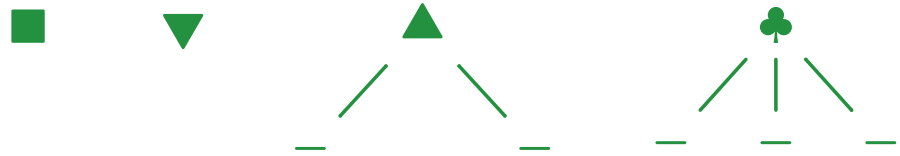

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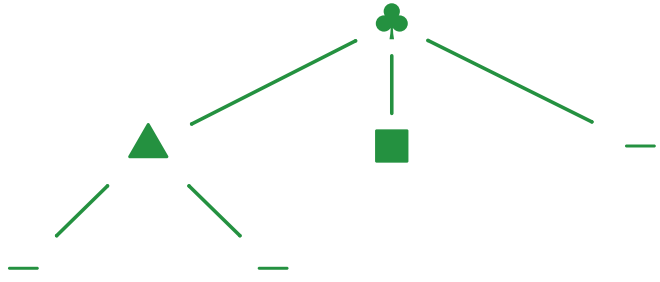

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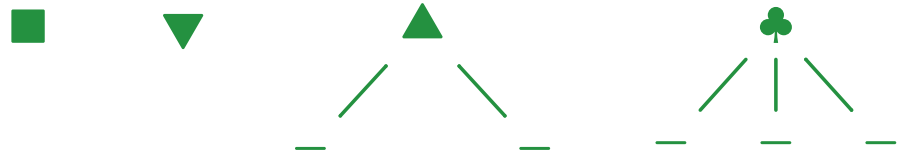

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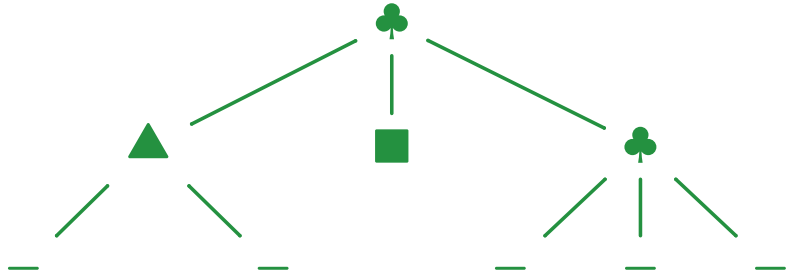

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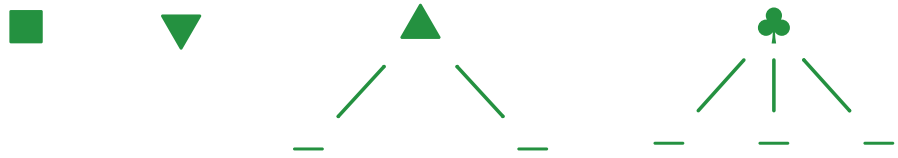
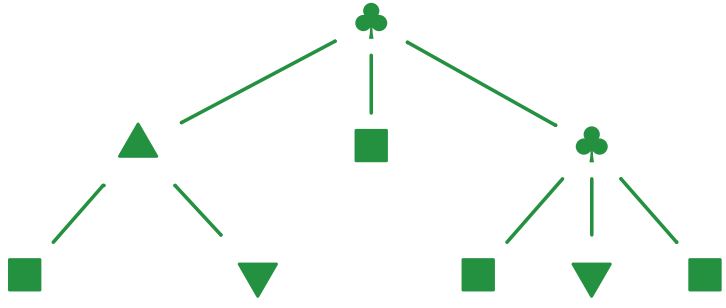


Put them together by plugging in shape for content slot until there are no lingering slots left!



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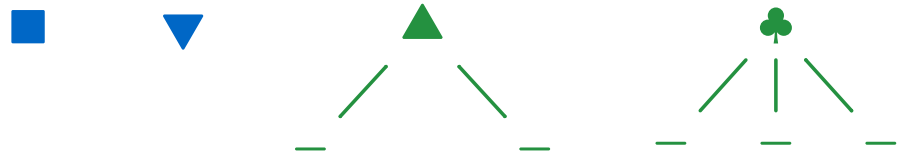

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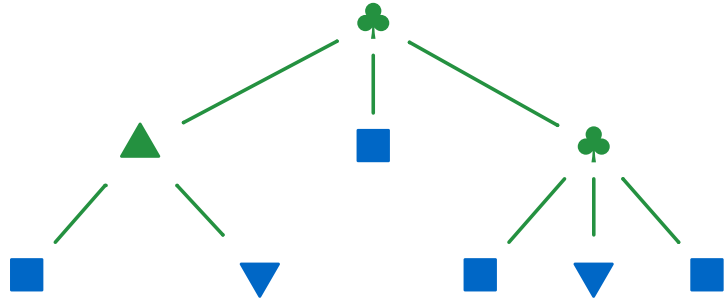

The leaves are always empty-content shapes

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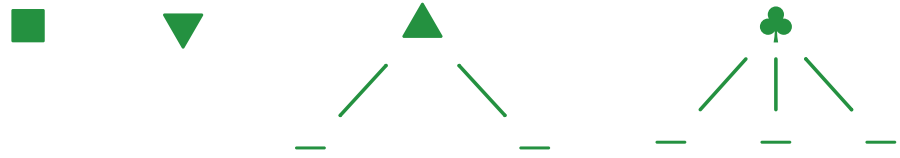

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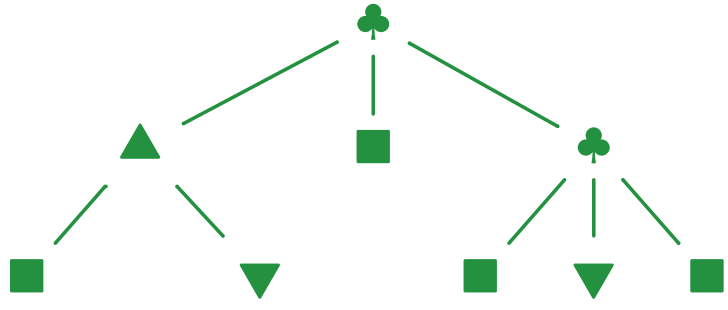


Define I_F = the set of all such finitary couplings

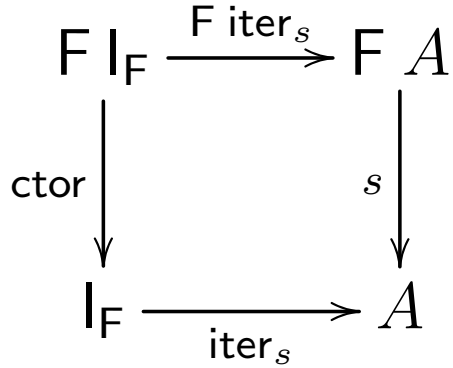
Recall: Properties of $\mathsf{I_F}$

Given a natural functor F, $(\mathsf{I_F},\ \mathsf{ctor} : \mathsf{F}\ \mathsf{I_F} \to \mathsf{I_F})$ satisfies:

ctor bijection

$\mathsf{I_F}$ = the datatype of F

Iteration (Initial Algebra Property): For all $(A, s : \mathsf{F}\ A \to A)$, there exists a unique function iter_s such that



Induction: Given any predicate φ on $\mathsf{I_F}$

$$\frac{\forall x \in \mathsf{F}\ \mathsf{I_F}.\ (\forall \mathsf{i} \in \mathsf{Fatoms\ x}.\ \varphi\ \mathsf{i}) \Rightarrow \varphi\ (\mathsf{ctor\ x})}{\forall i \in \mathsf{I_F}.\ \varphi\ \mathsf{i}}$$

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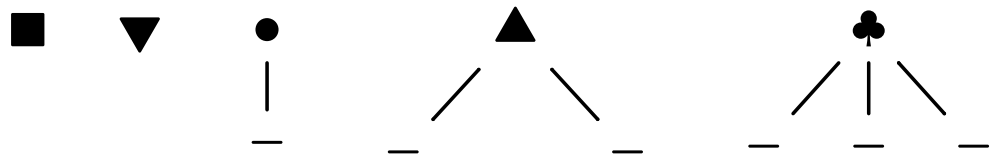

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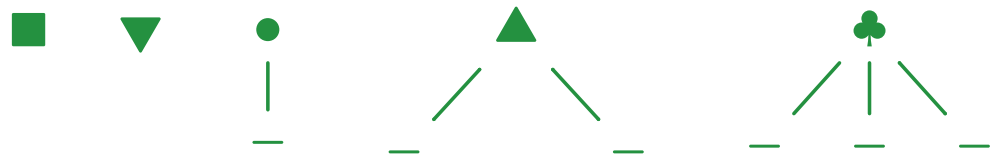

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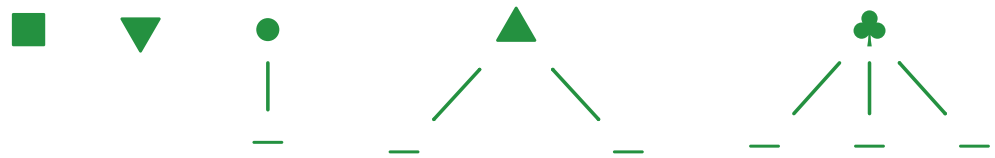

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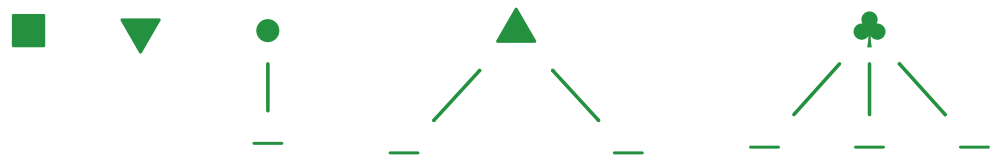

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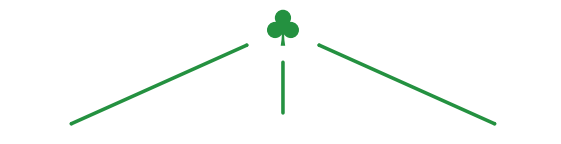

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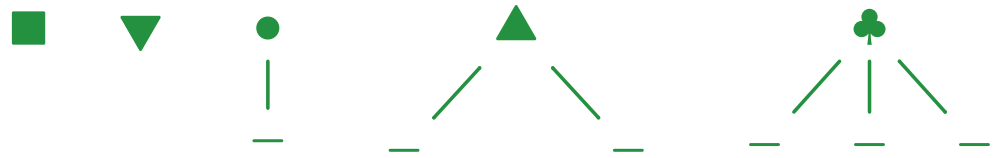

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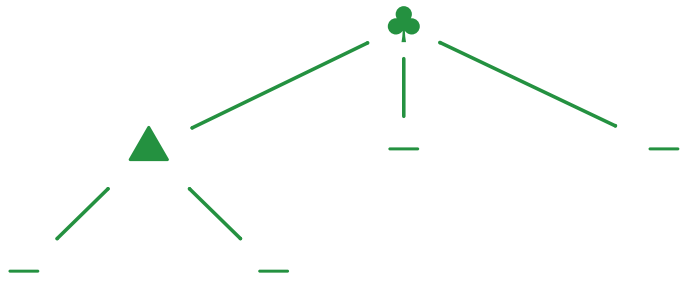

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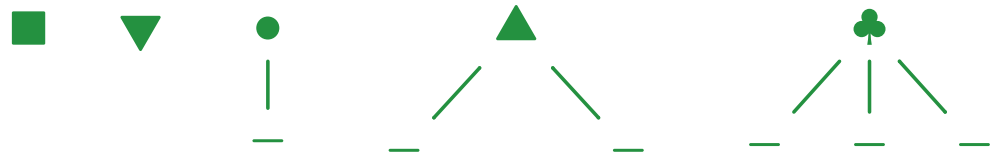

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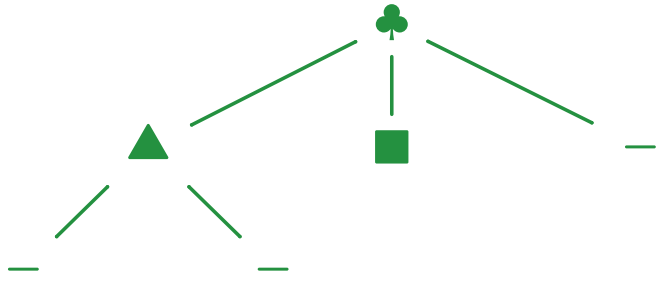

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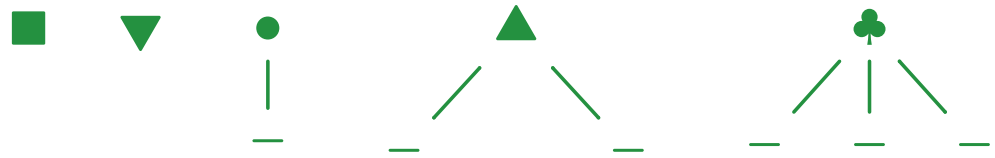
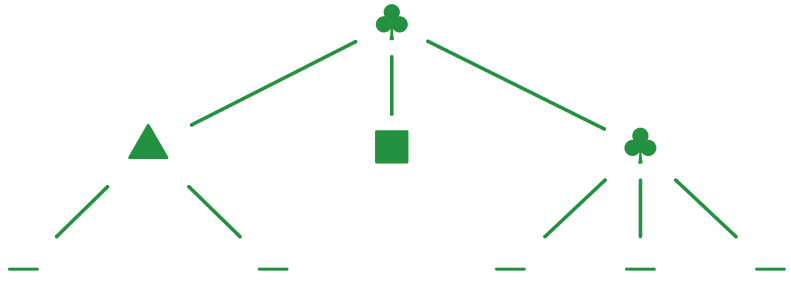


Put them together by plugging in shape for content slot



Coiterating Shape Composition

Natural functor $F : \mathsf{Set} \to \mathsf{Set}$

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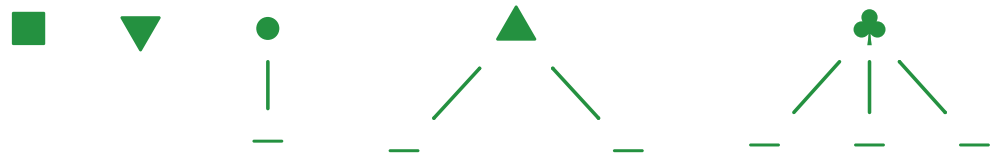

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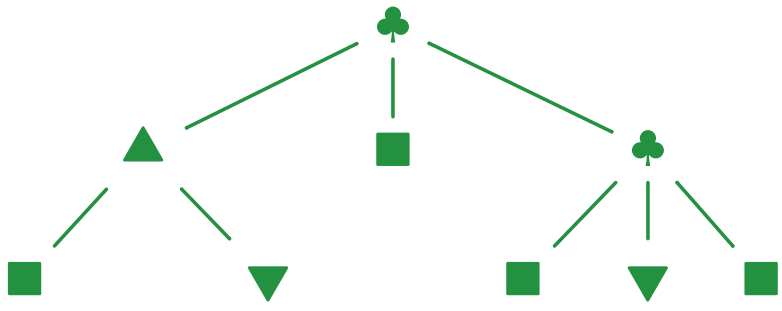

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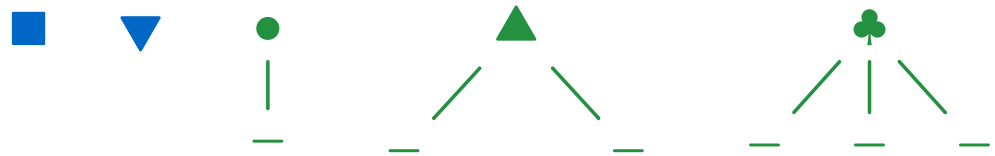

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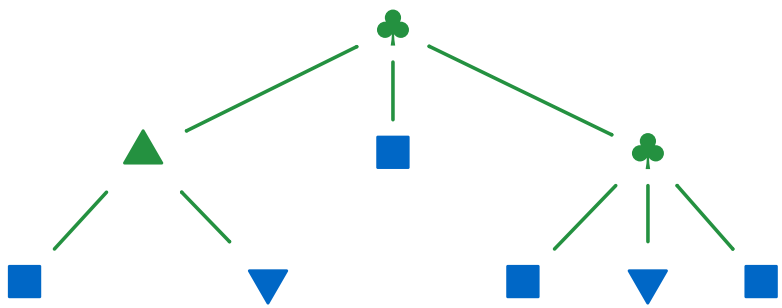

The leaves are always empty-content shapes

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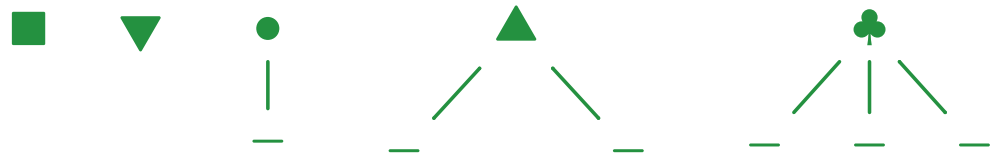

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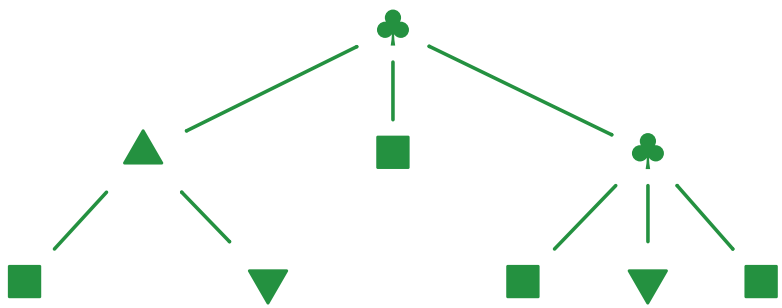

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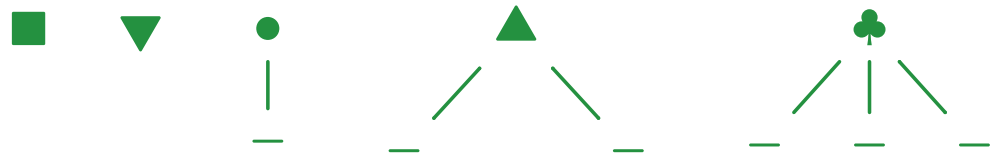

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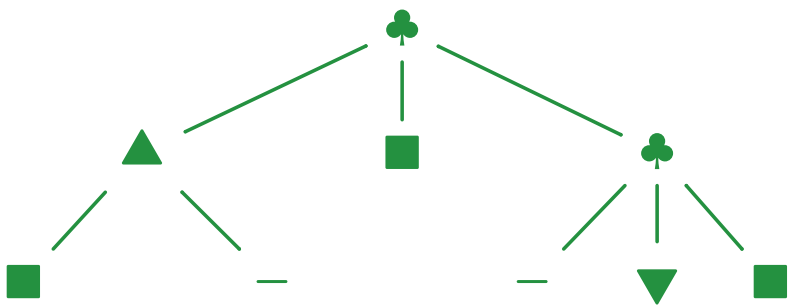

Allow infinite couplings

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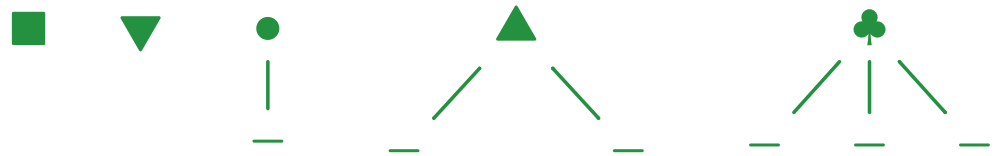

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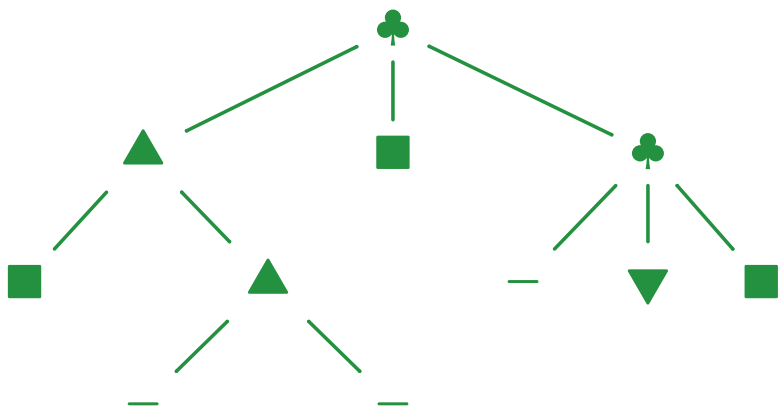

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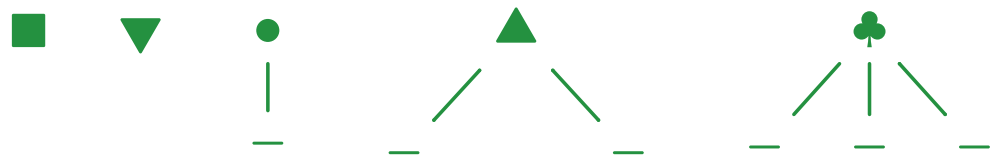

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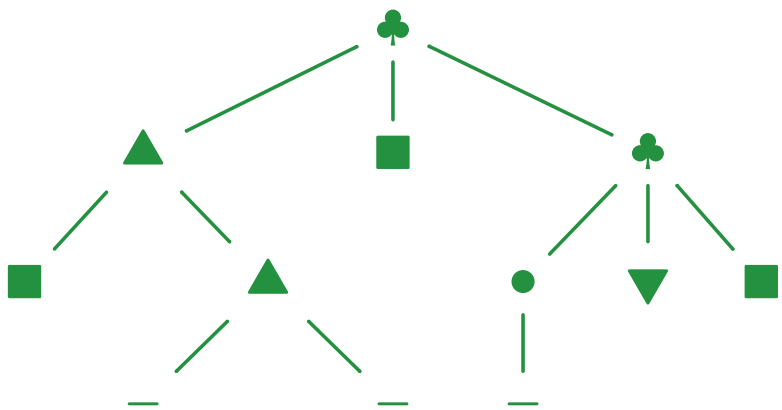

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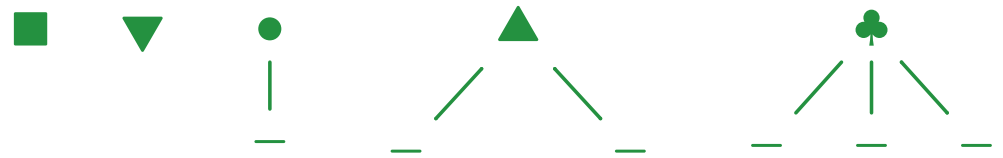

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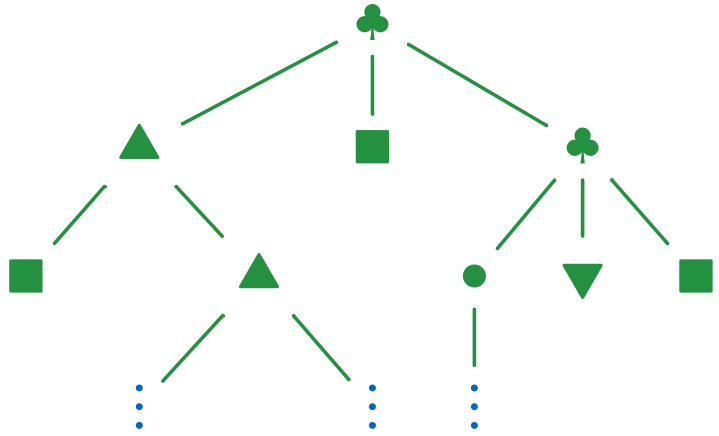

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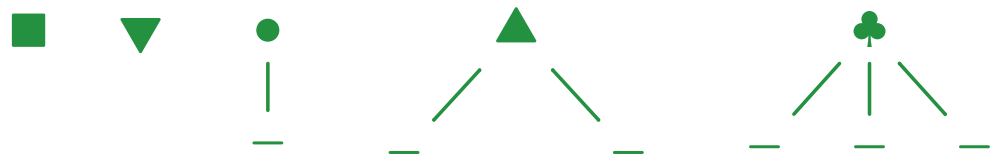

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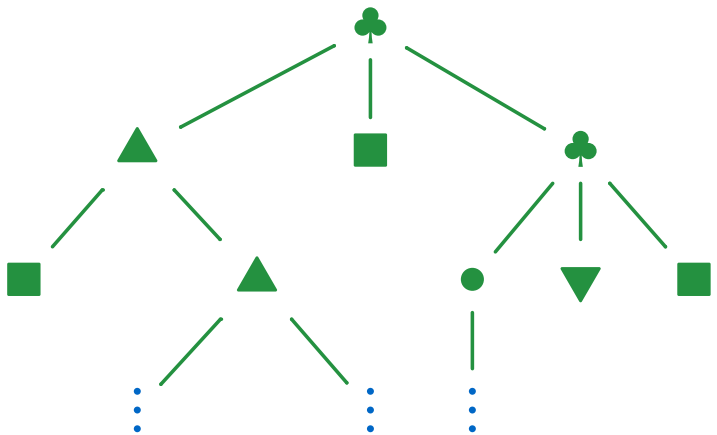
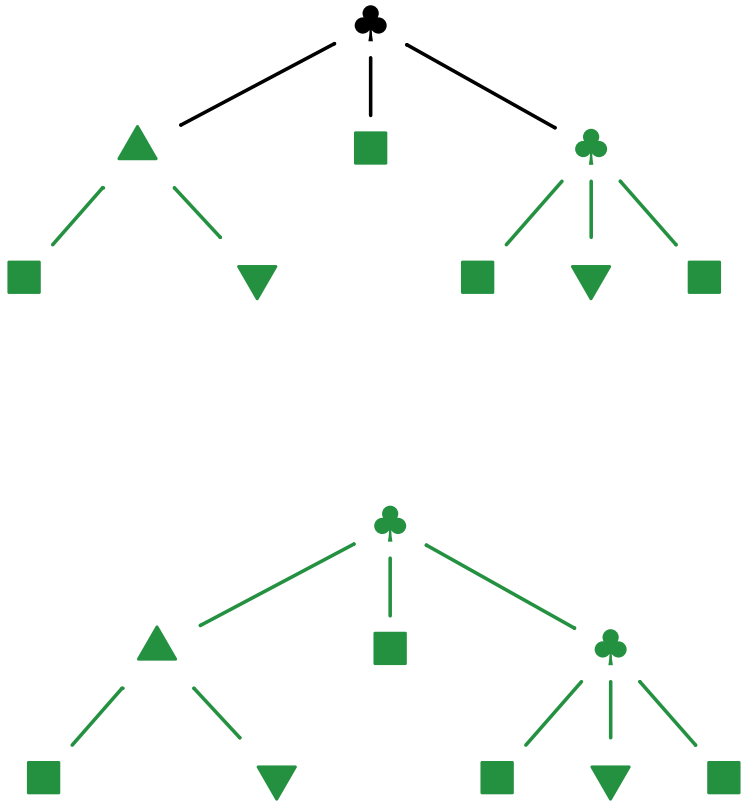
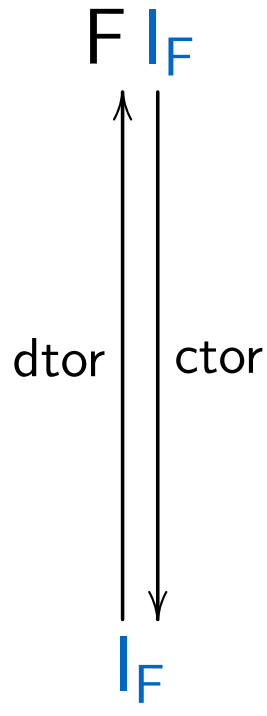


Define J_F = the set of all such (possibly) infinitary couplings

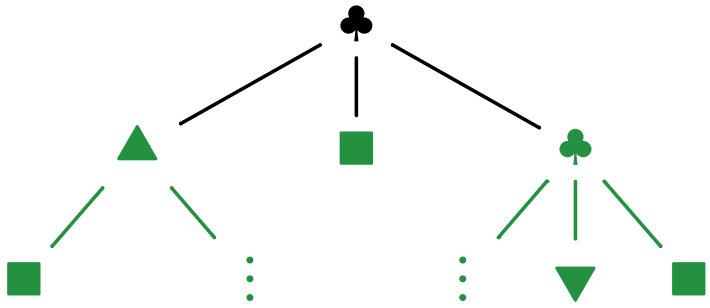
Recall: Properties of I_F: Bijectivity

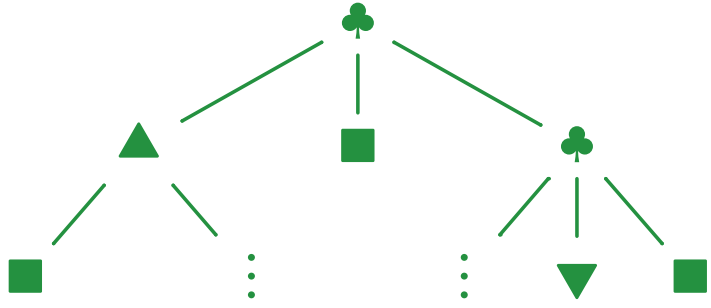


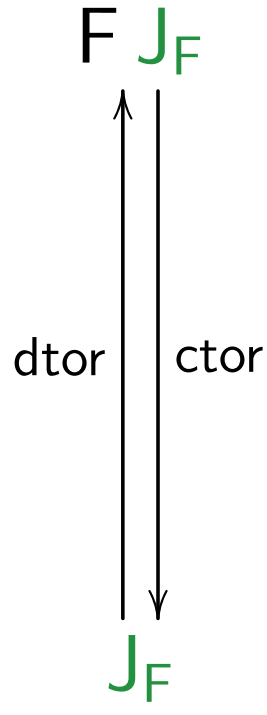


ctor and dtor are mutually inverse bijections

Properties of J_F: Bijectivity







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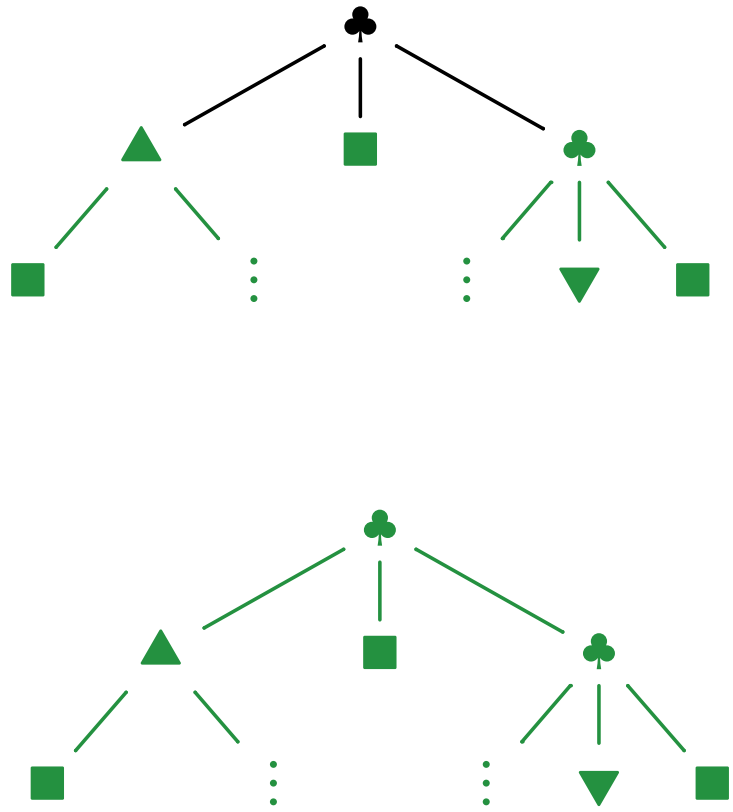
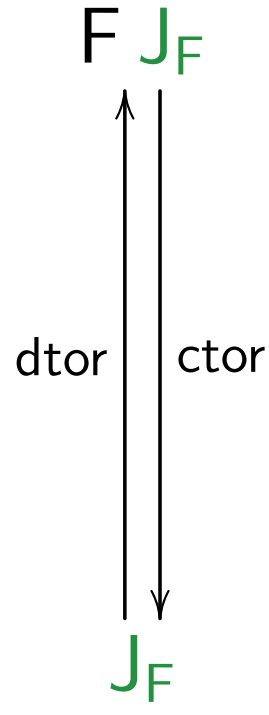
Properties of J_F: Bijectivity

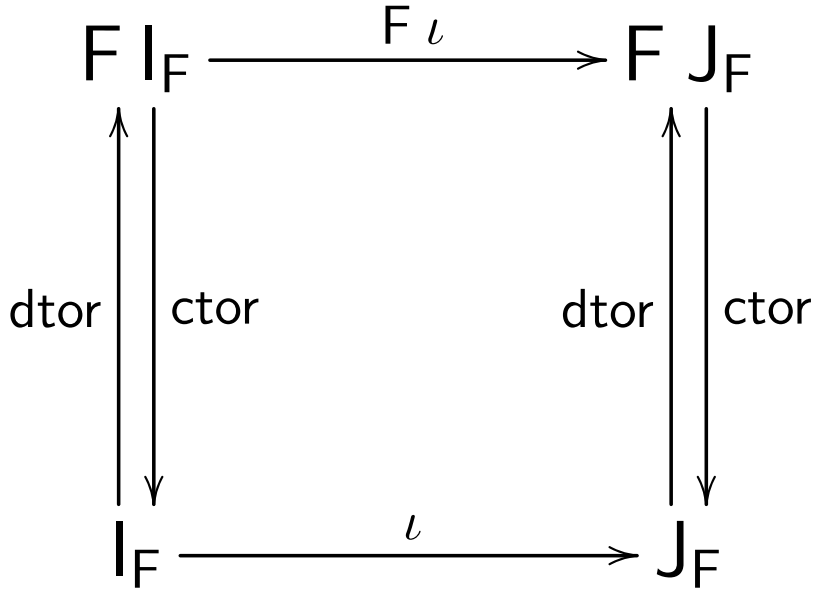




ctor and dtor are mutually inverse bijections

A similar property holds for J_F, where we use the same notations for constructor and destructor

I_F is embedded in J_F



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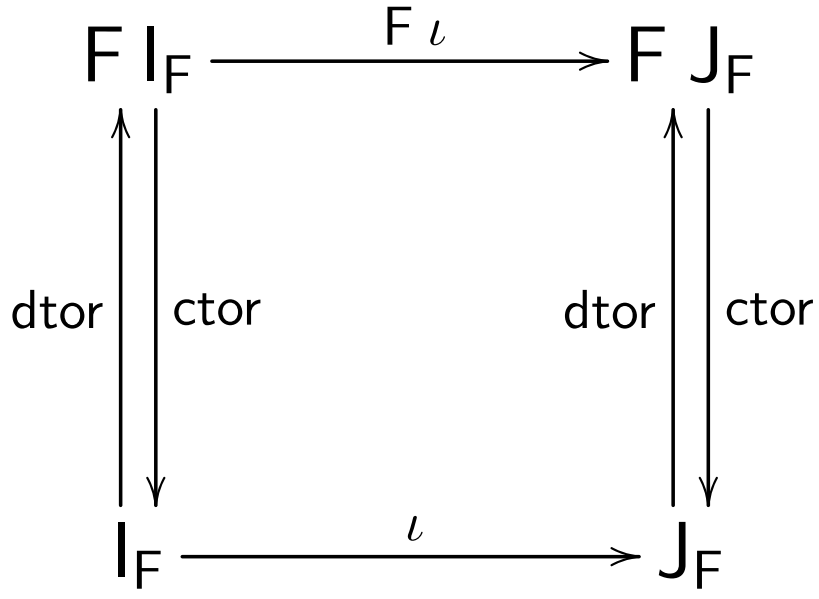
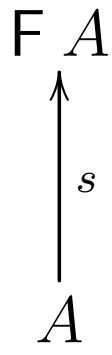


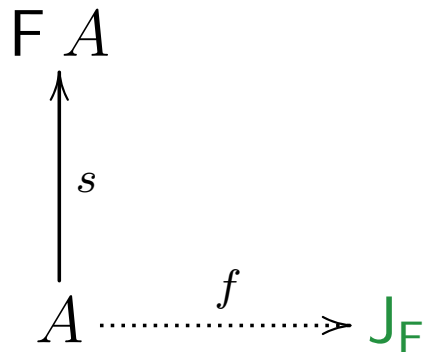
$\iota = \mathsf{iter}_{\mathsf{ctor}:\mathsf{F}\ J_F \to \mathsf{F}\ J_F}$

Properties of J_F: Coiteration



J_F

Properties of J_F: Coiteration



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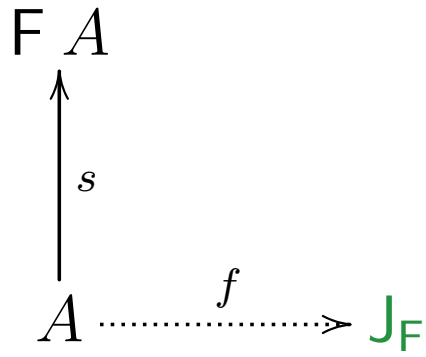

a

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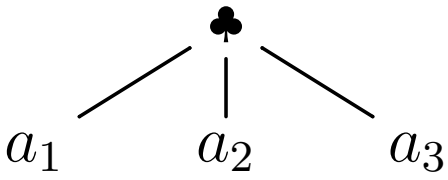

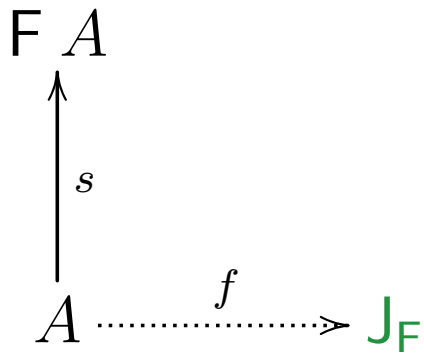

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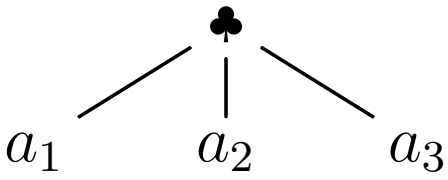

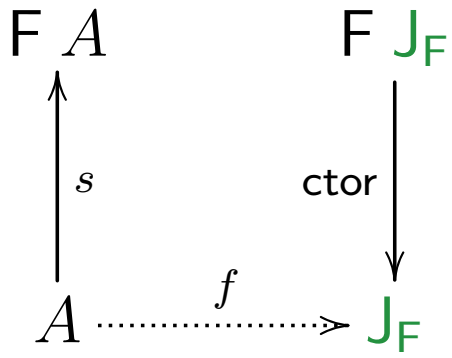

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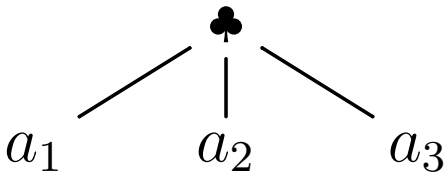

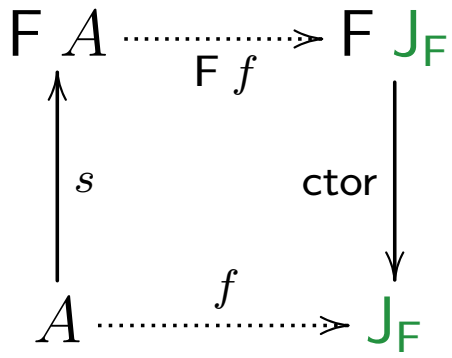

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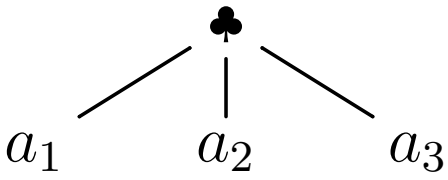
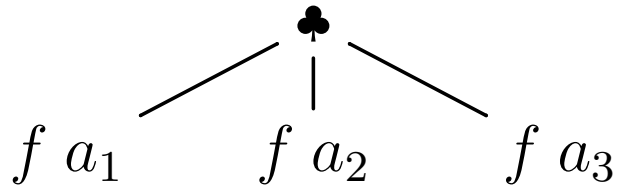
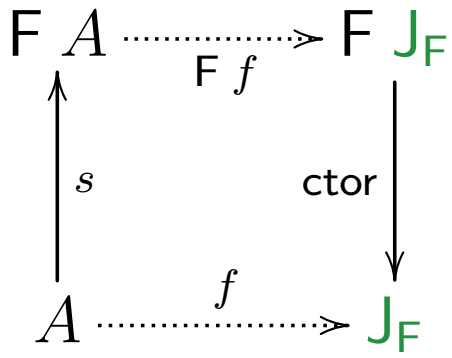
Properties of $\mathsf{J_F}$: Coiteration







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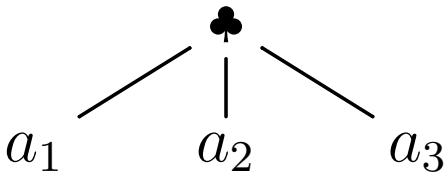

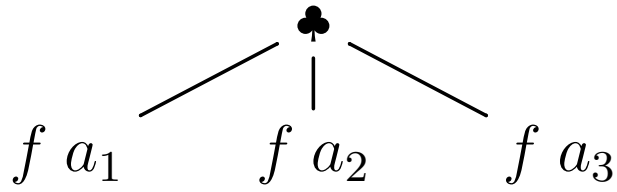

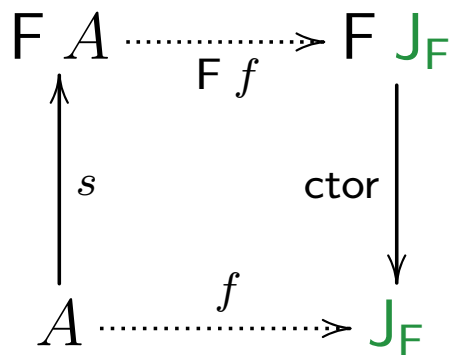

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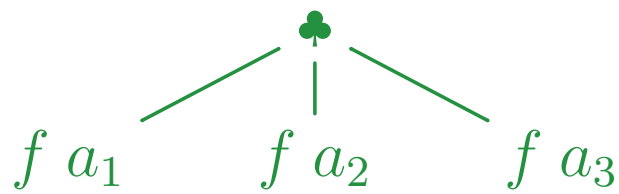

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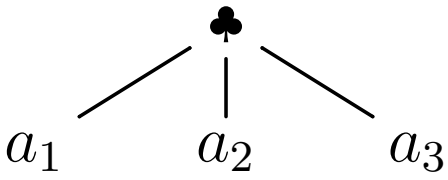

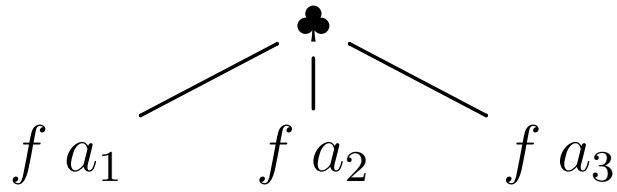

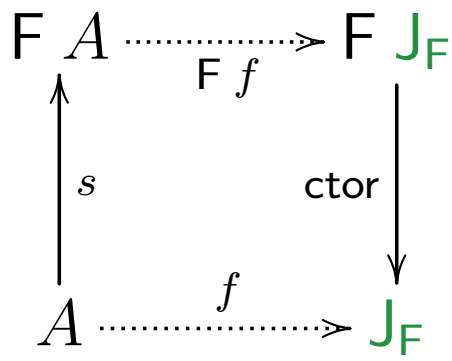

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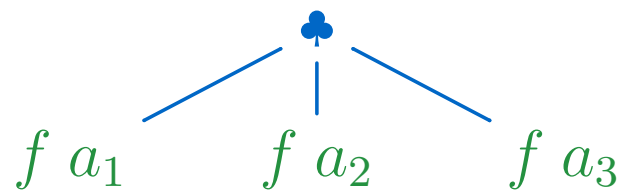
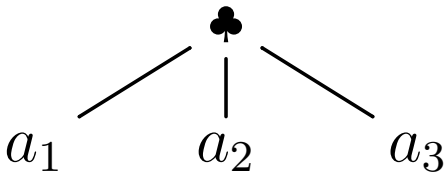
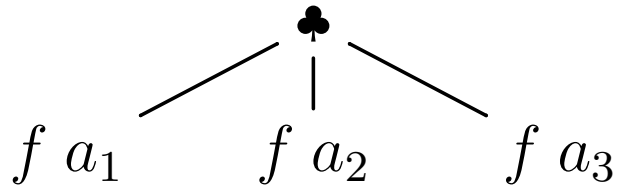
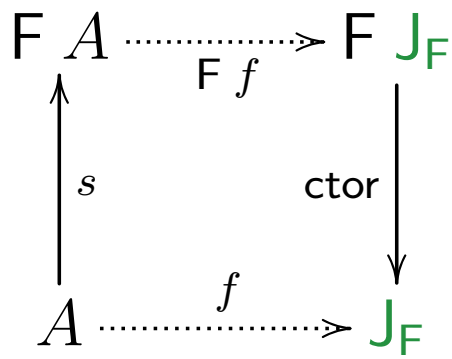


a_1, a_2, a_3 are not "smaller" than a in any sense

Properties of $\mathsf{J_F}$: Coiteration







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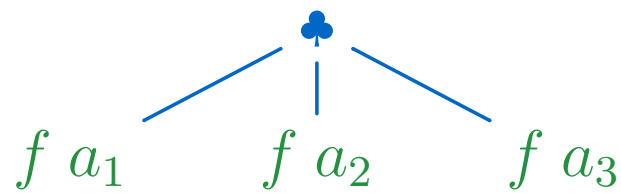

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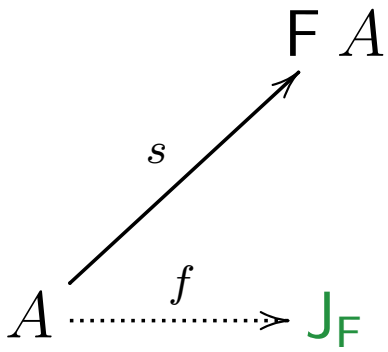
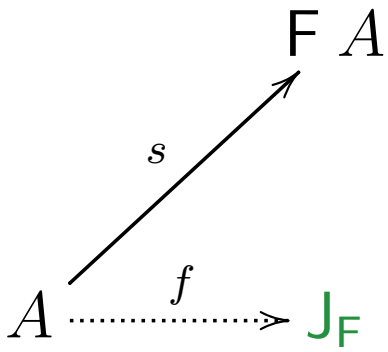
But computation has made progress

Properties of J_F: Coiteration



Properties of J_F: Coiteration



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Properties of J_F: Coiteration

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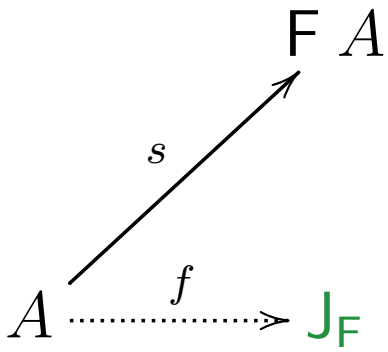

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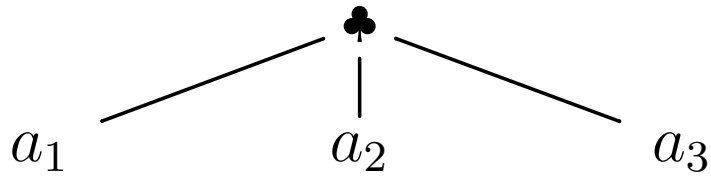
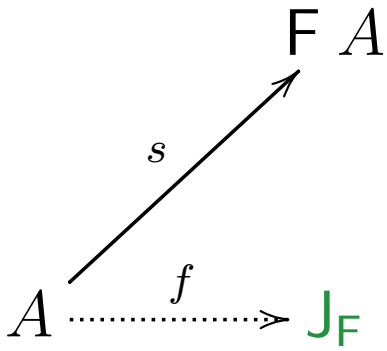
Properties of J_F: Coiteration





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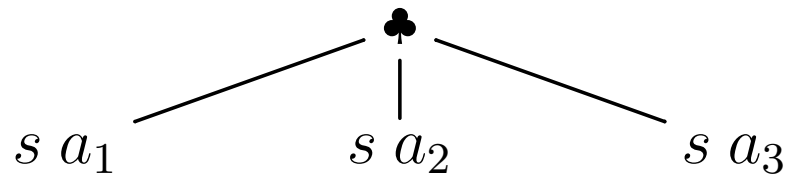

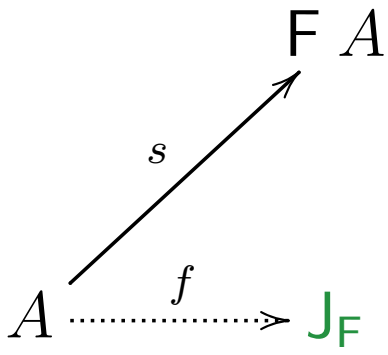

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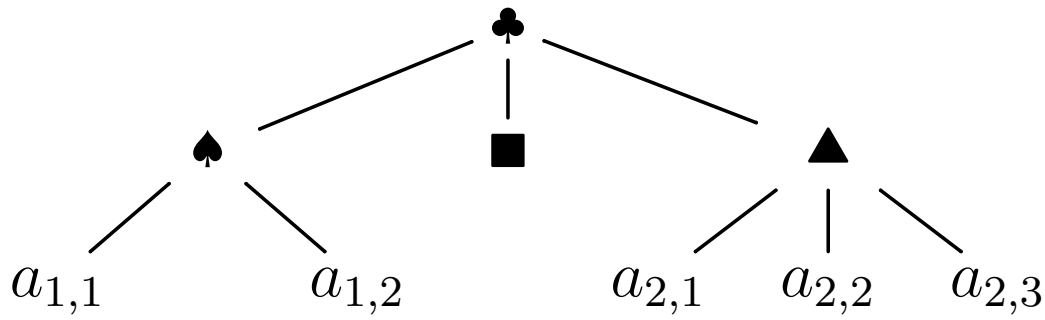

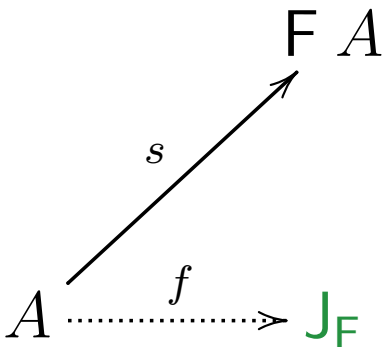

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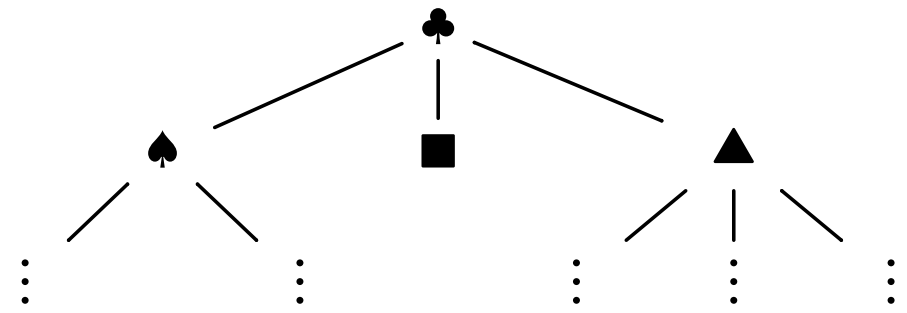

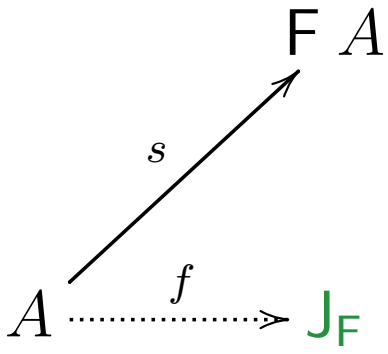

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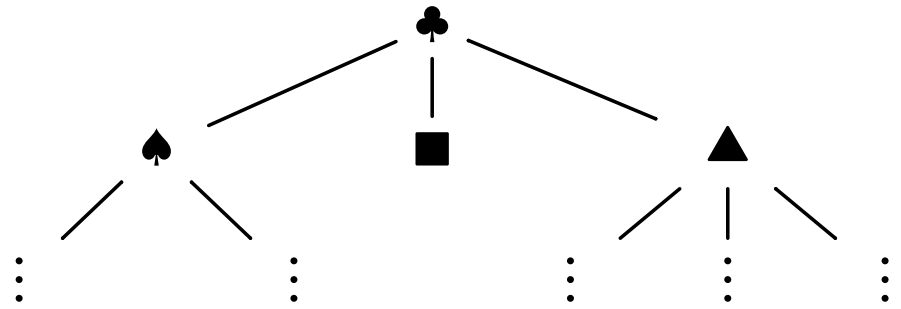

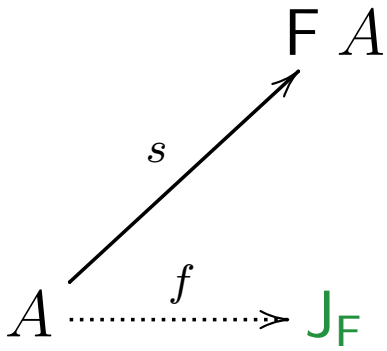

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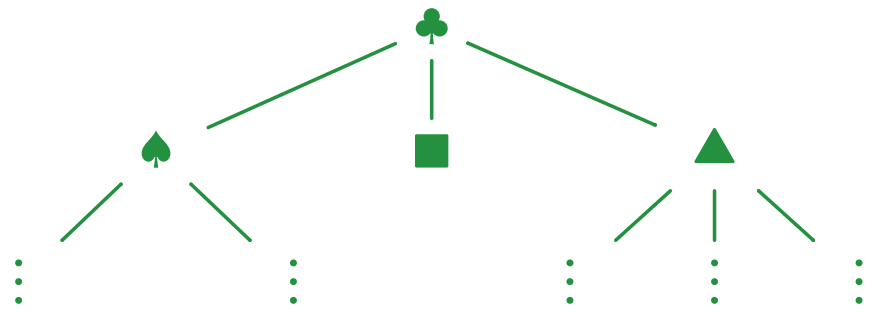

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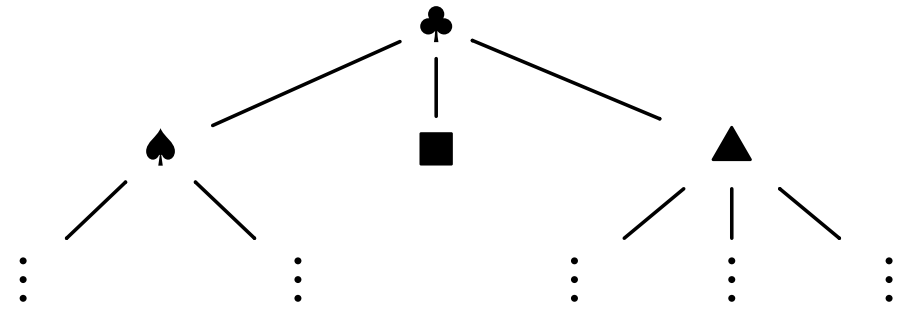
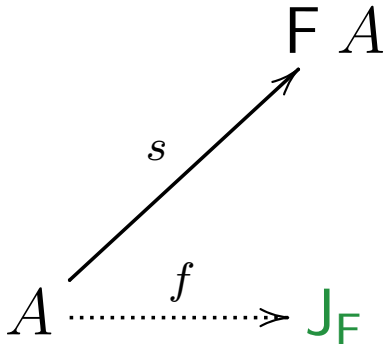
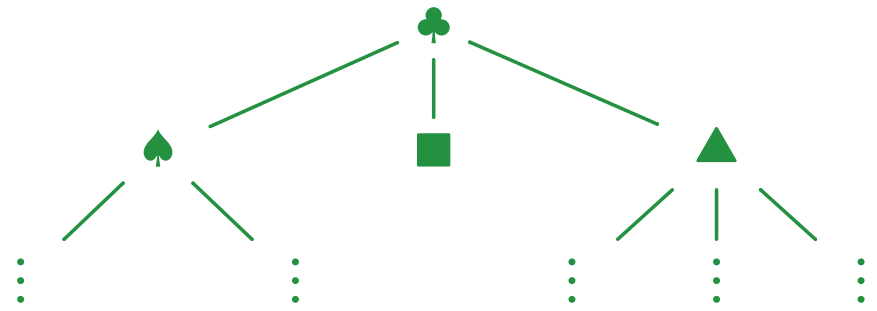




$s\ a$ = the seed encoding the growth of the tree $f\ a$

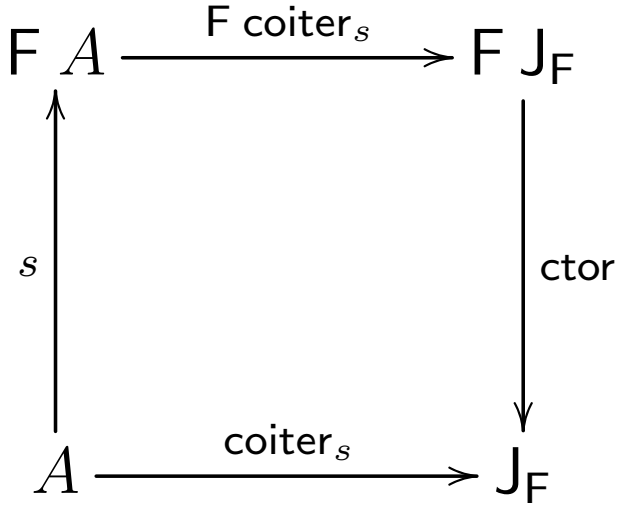
a



Properties of $\mathsf{J_F}$: Coiteration

Given a natural functor F, $(\mathsf{J_F},\ \mathsf{dtor} : \mathsf{J_F} \to \mathsf{F}\ \mathsf{J_F})$

Coiteration (Final Coalgebra Property): For all $(A, s : A \to \mathsf{F}\ A)$, there exists a unique function coiter_s with



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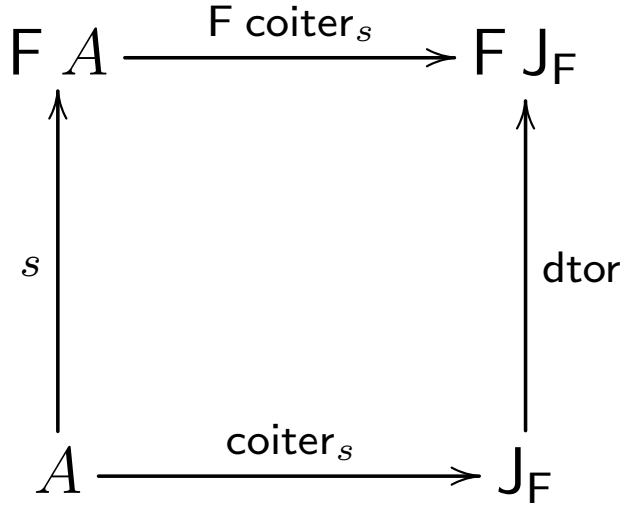

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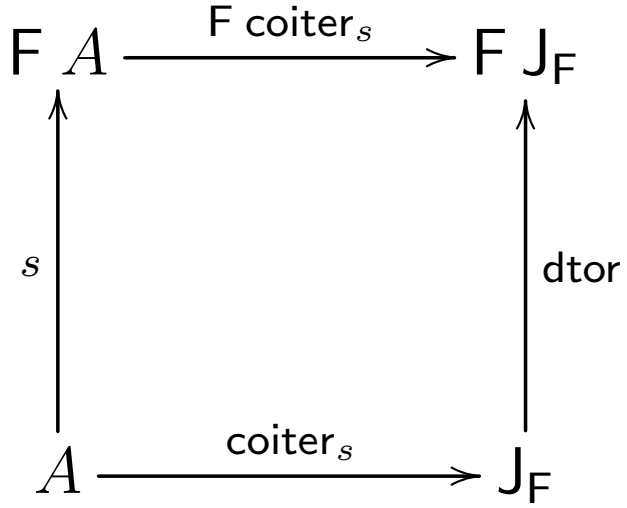
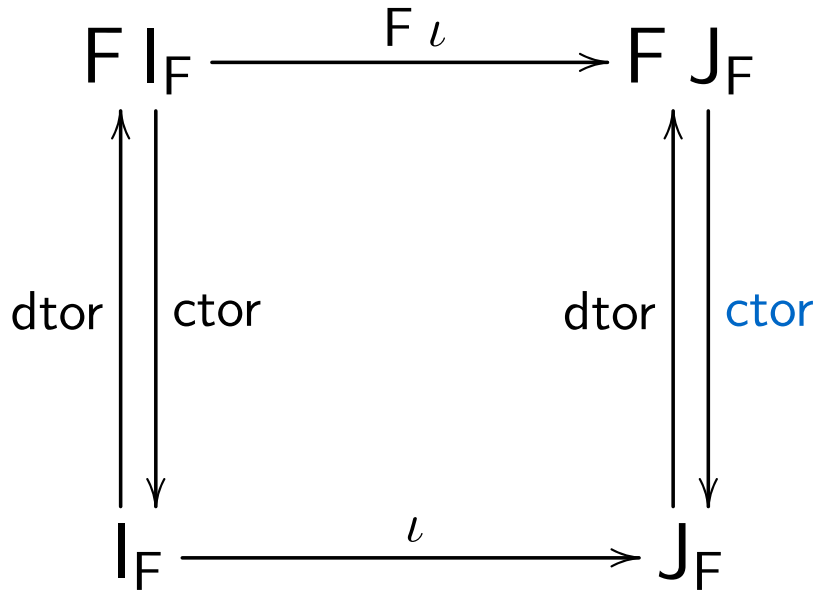


$\mathsf{J_F}$ = the codatatype of F

The I_F to J_F embedding revisited



ι can be regarded as defined by iteration on I_F

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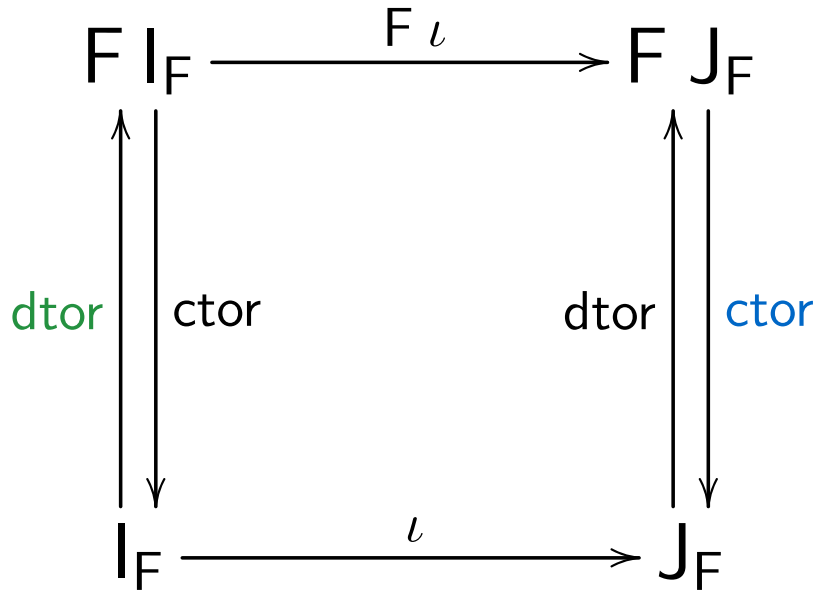
The I_F to J_F embedding revisited



ι can be regarded as defined by
iteration on I_F but also by coiteration on J_F!

$\iota = \mathsf{iter}_{\mathsf{ctor}} = \mathsf{coiter}_{\mathsf{dtor}}$

Properties of J_{F}: Coinduction

j $\qquad\qquad\qquad\qquad\qquad\qquad$ j'

Properties of J_F: Coinduction

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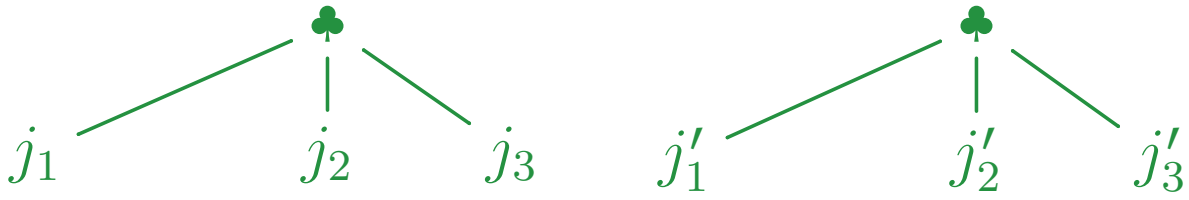
Want: $\quad j = j'$

Properties of J_F: Coinduction

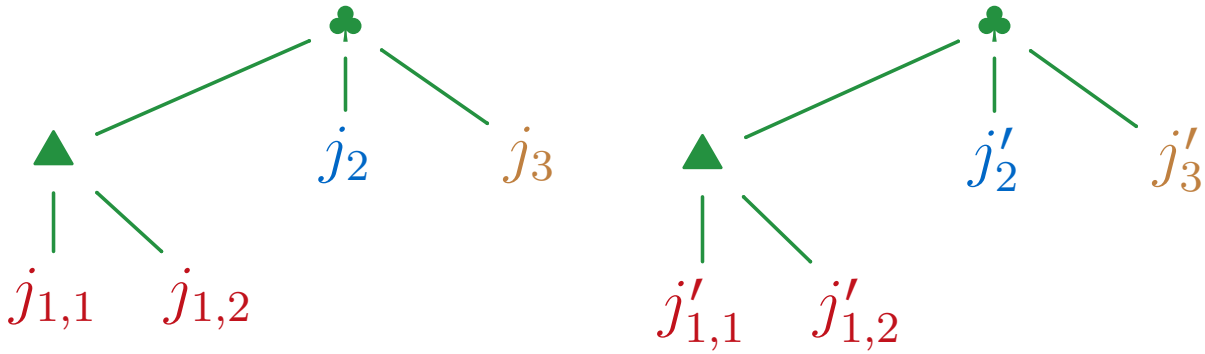


Want: $j = j'$

Properties of J_F: Coinduction

Suffices: $j_1 = j_1'$
$j_2 = j_2'$
$j_3 = j_3'$

Properties of J_F: Coinduction



Suffices: $j_1 = j'_1$
$j_2 = j'_2$
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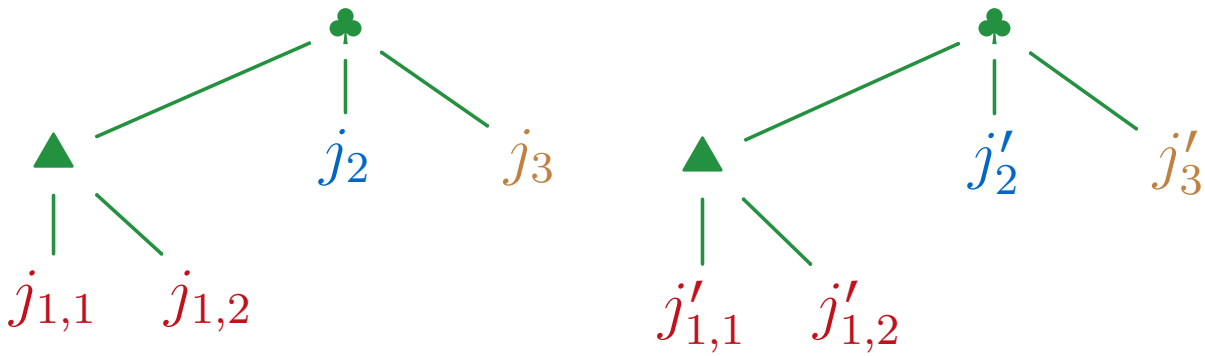
Properties of J_F: Coinduction



Suffices: $j_{1,1} = j'_{1,1},\ j_{1,2} = j'_{1,2}$

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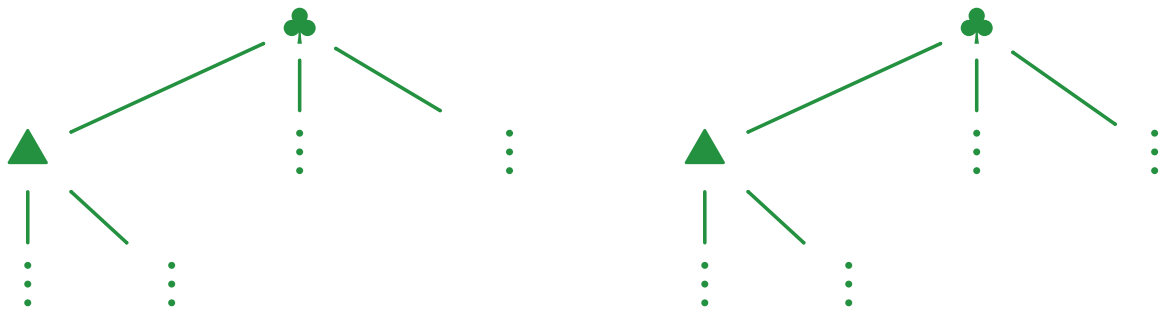
Properties of J_F: Coinduction



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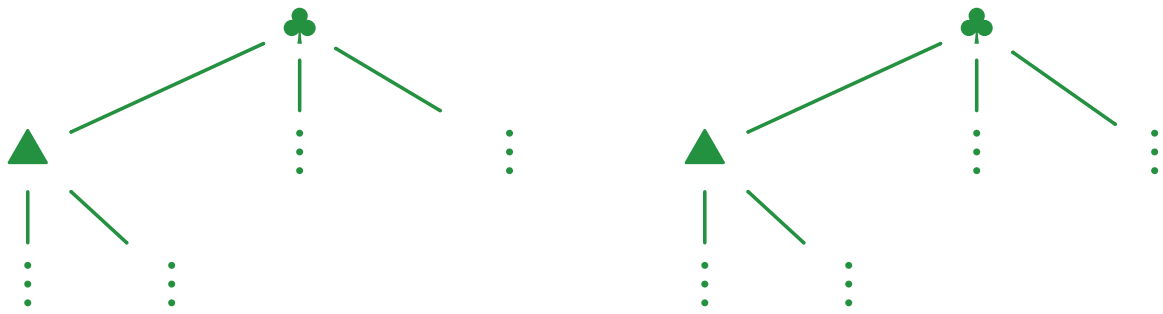

If we can stay in the game indefinitely, then equality holds!

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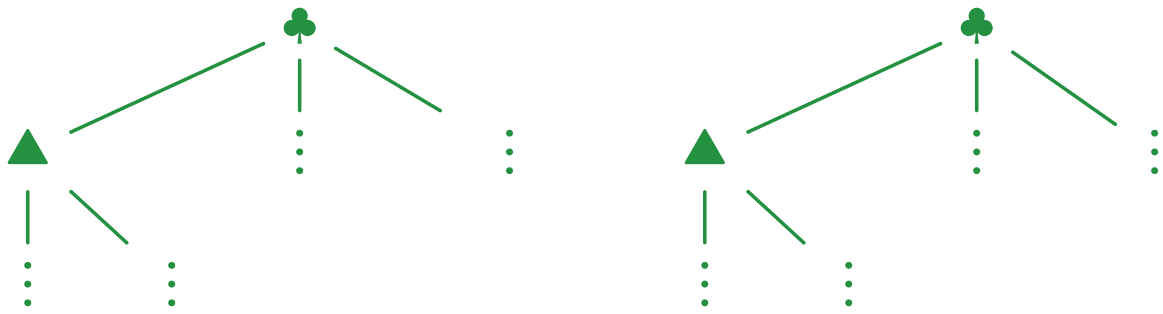
Properties of J_F: Coinduction



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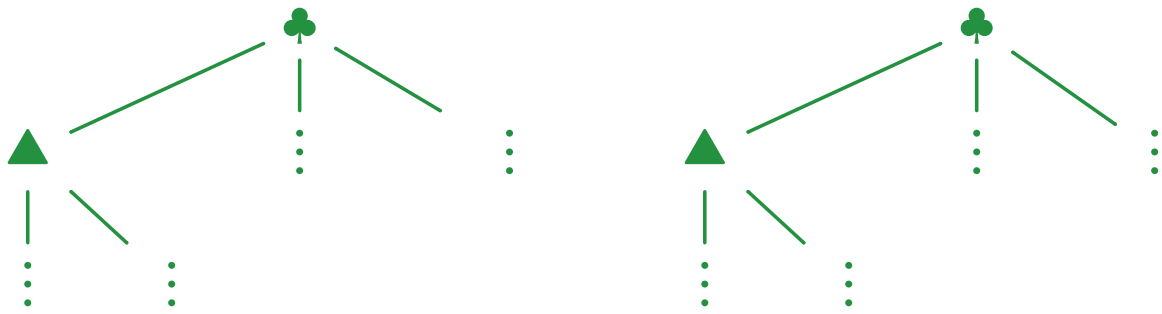
Properties of J_F: Coinduction



If we can stay in the game indefinitely, then equality holds!
But how to show we can "stay in the game"?
By exhibiting a "strategy"

Suffices: $j_{1,1} = j'_{1,1},\ j_{1,2} = j'_{1,2}$
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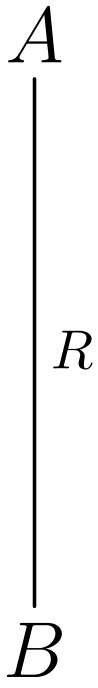
$j_3 = j'_3$

But First: Relators



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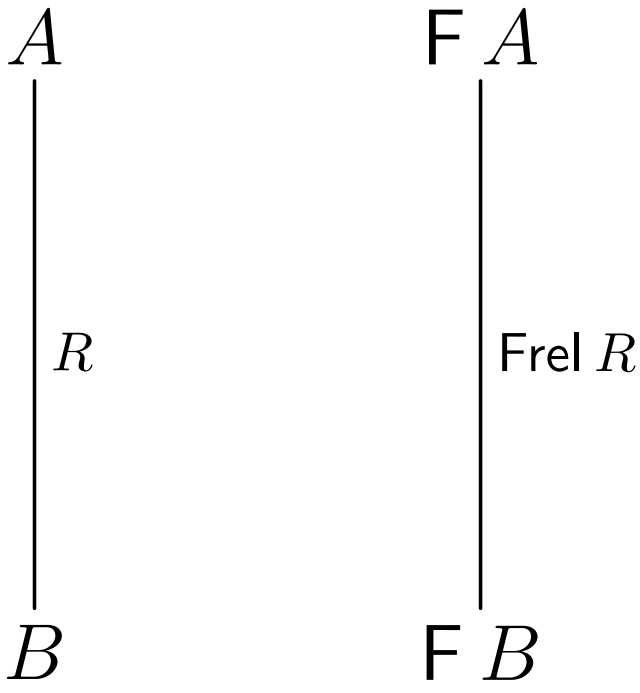

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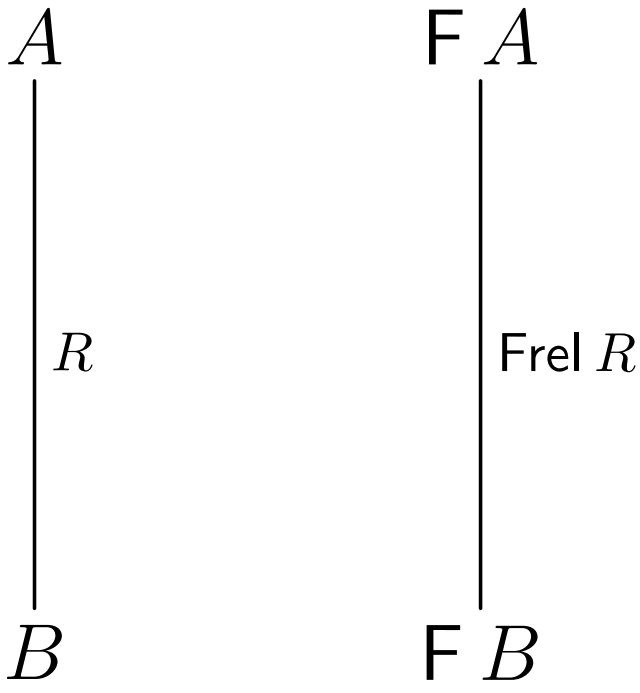

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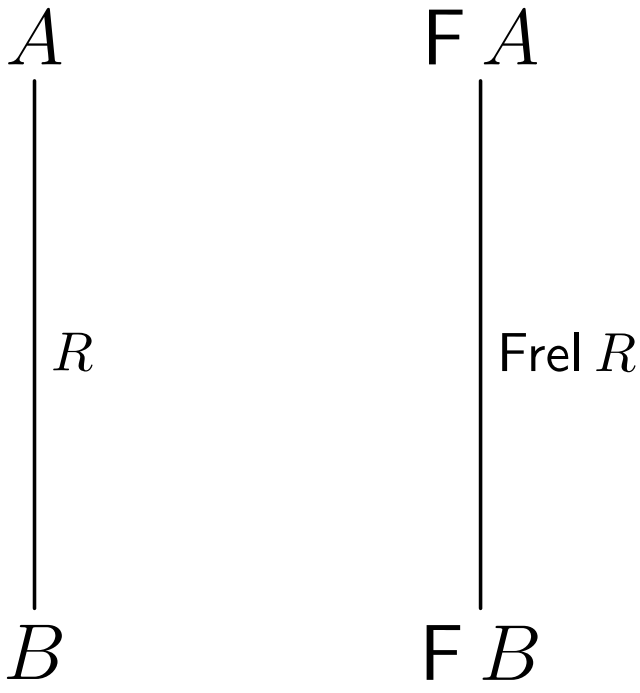
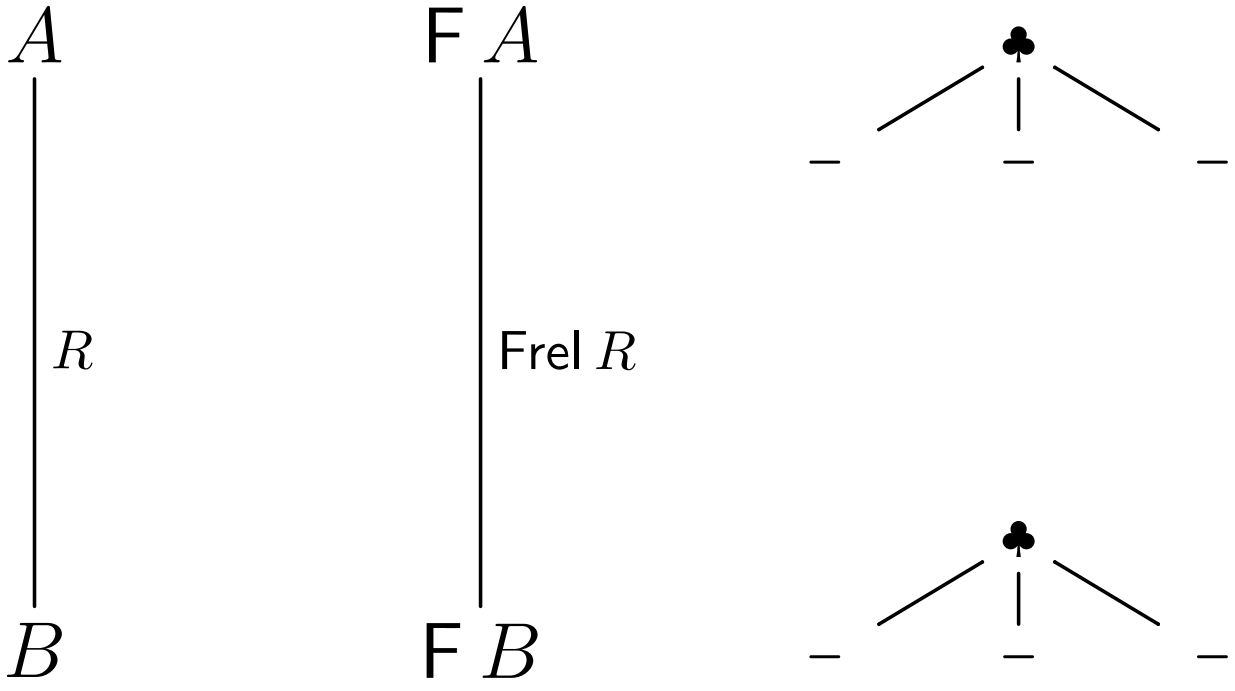


Two elements of F A and F B are related by Frel R iff

But First: Relators



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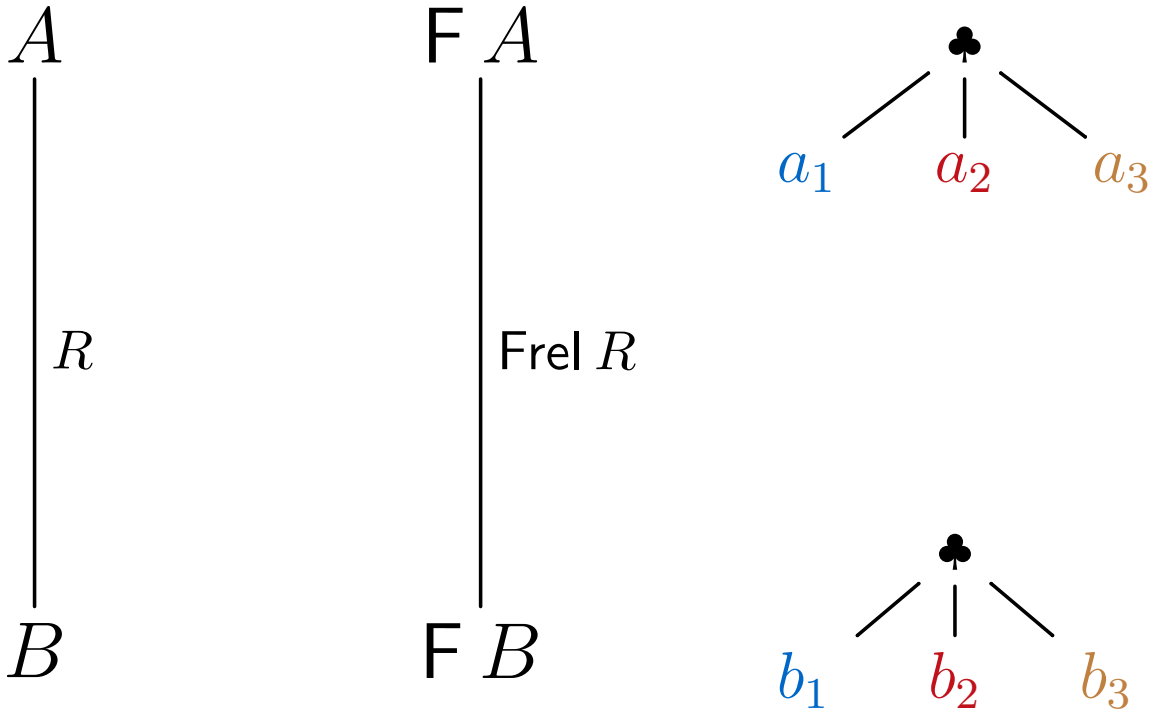

Two elements of F A and F B are related by Frel R iff
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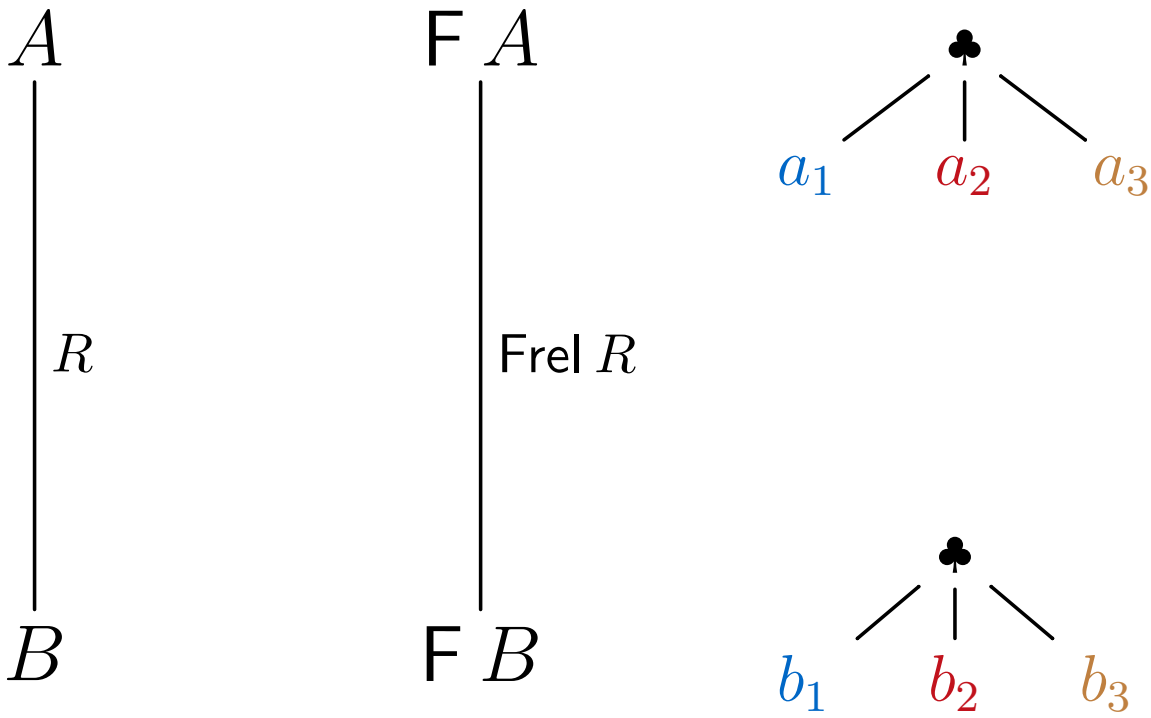

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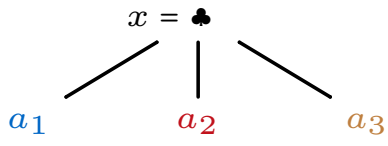
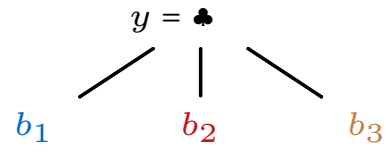
$R\ a_1\ b_1$, $R\ a_2\ b_2$, $R\ a_3\ b_3$

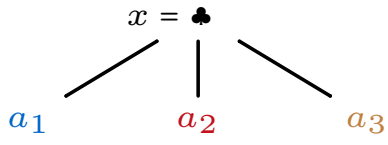
Relator Defined from Mapper

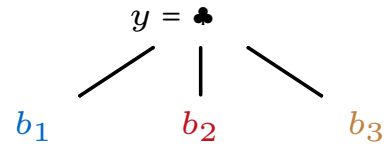




R relation between A and B, $x \in \mathsf{F}\ A$, $y \in \mathsf{F}\ B$

Relator Defined from Mapper





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Frel $R\ x\ y$ defined as

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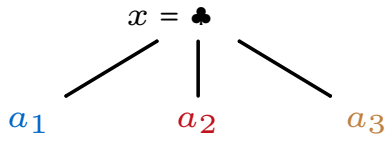

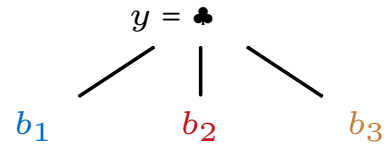

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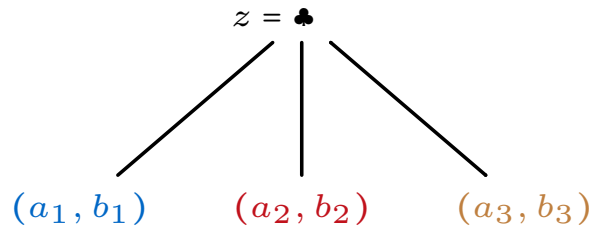

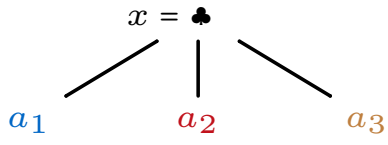

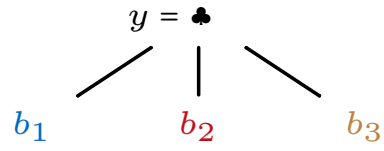

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Relators for the Running Examples

R relation between A and B

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Frel R relation between F A and F B

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F $A = \mathbb{N} \times A$ Frel $R\ (m, a)\ (n, b) \Leftrightarrow$

Relators for the Running Examples

R relation between A and B

Frel R relation between F A and F B

F $A = \mathbb{N} \times A$ Frel $R\ (m, a)\ (n, b) \Leftrightarrow (m = n \wedge R\ a\ b)$

Relators for the Running Examples

R relation between A and B
Frel R relation between F A and F B

F $A = \mathbb{N} \times A$ Frel $R\ (m, a)\ (n, b) \Leftrightarrow (m = n \wedge R\ a\ b)$

F $A = \mathbb{N} + A$

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Frel $R\ u\ v \Leftrightarrow$

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R relation between A and B

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F $A = \mathbb{N} + A$

Frel $R\ u\ v \Leftrightarrow$
$(\exists n.\ u = v = \mathsf{Left}\ n) \vee$
$(\exists a, b.\ u = \mathsf{Right}\ a \wedge v = \mathsf{Right}\ b \wedge R\ a\ b)$

Relators for the Running Examples

R relation between A and B
Frel R relation between F A and F B

F A = $\mathbb{N} \times A$

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F A = List A

Relators for the Running Examples

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F A = List A

Frel $R\ (a_1 \cdot a_2 \cdot \ldots \cdot a_m)\ (b_1 \cdot b_2 \cdot \ldots \cdot b_n) \Leftrightarrow$

Relators for the Running Examples

R relation between A and B
Frel R relation between F A and F B

F $A = \mathbb{N} \times A$

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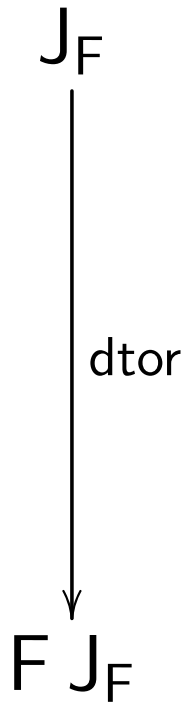
$(\exists a, b.\ u = \mathsf{Right}\ a \wedge v = \mathsf{Right}\ b \wedge R\ a\ b)$

F $A = \mathsf{List}\ A$

Frel $R\ (a_1 \cdot a_2 \cdot \ldots \cdot a_m)\ (b_1 \cdot b_2 \cdot \ldots \cdot b_n) \Leftrightarrow$
$m = n \wedge (\forall i.\ R\ a_i\ b_i)$

Back to the "Strategy" for Proving Equality



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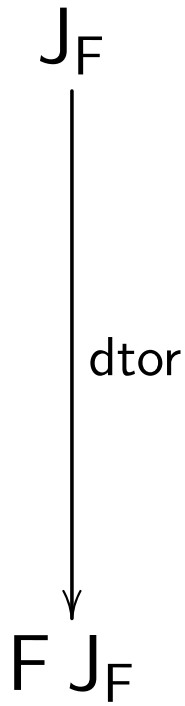
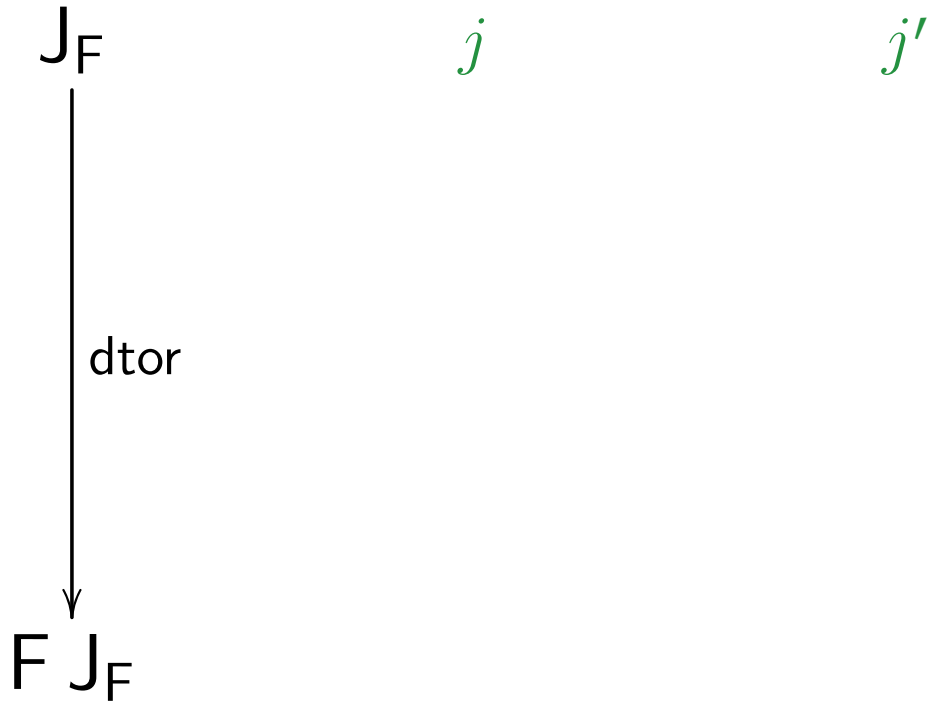


Given binary relation R on J_F

Back to the "Strategy" for Proving Equality



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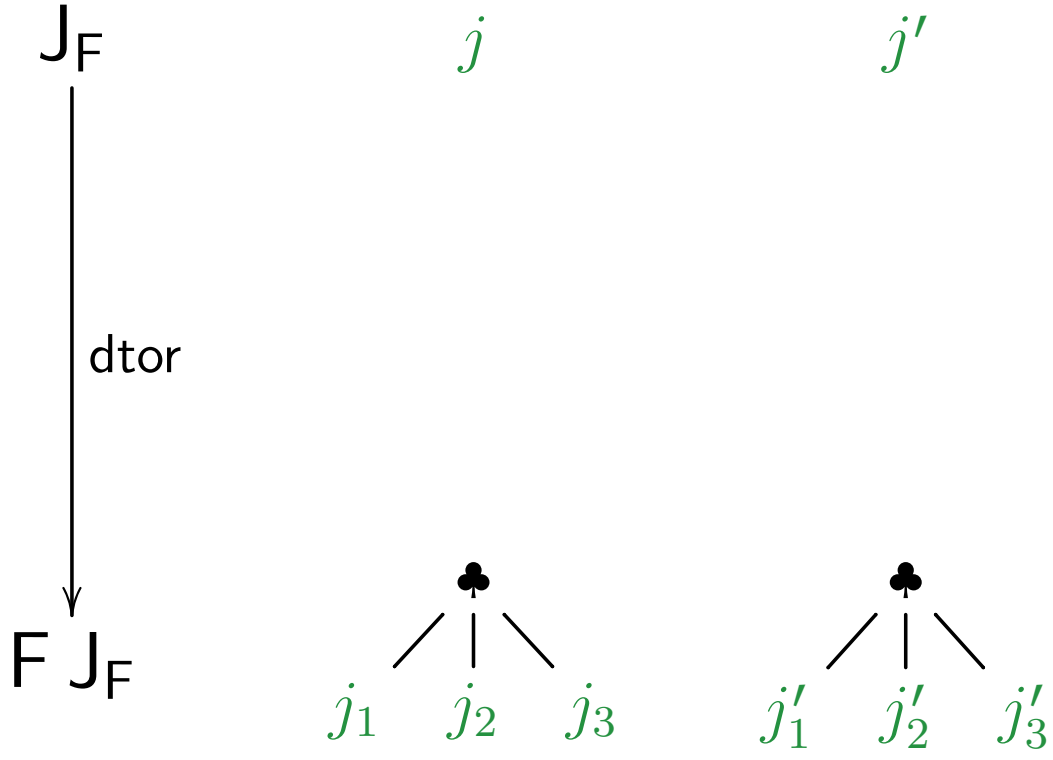
If $\forall j, j'.\ R\ j\ j'$

Back to the "Strategy" for Proving Equality



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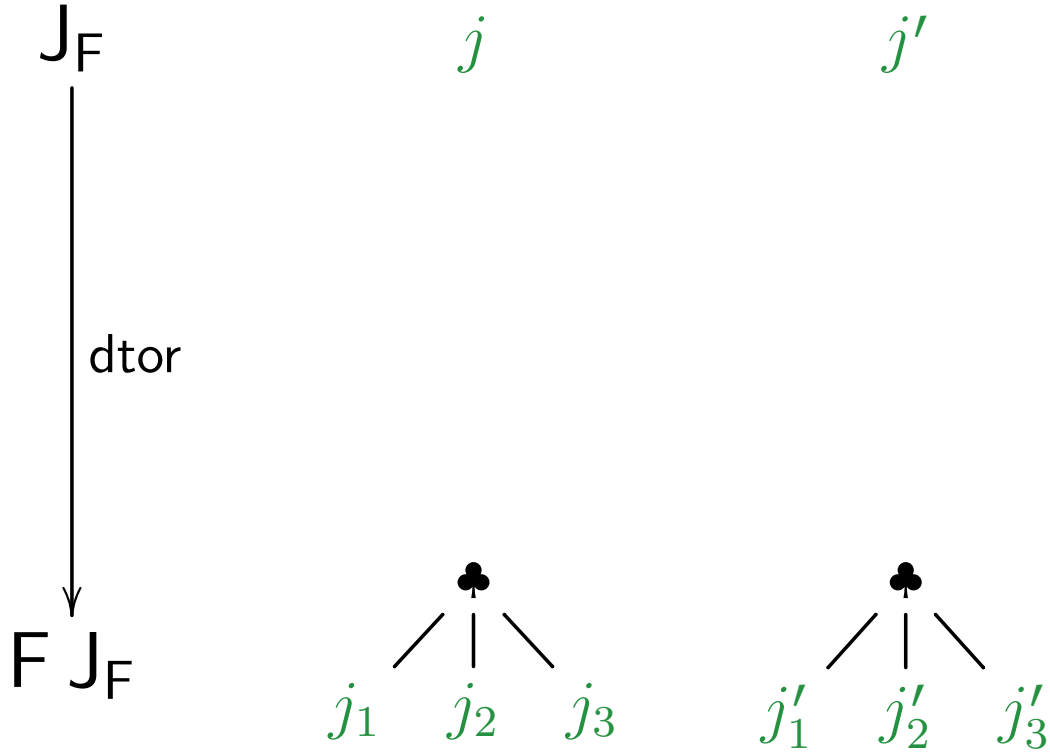
Back to the "Strategy" for Proving Equality



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Then R is included in equality

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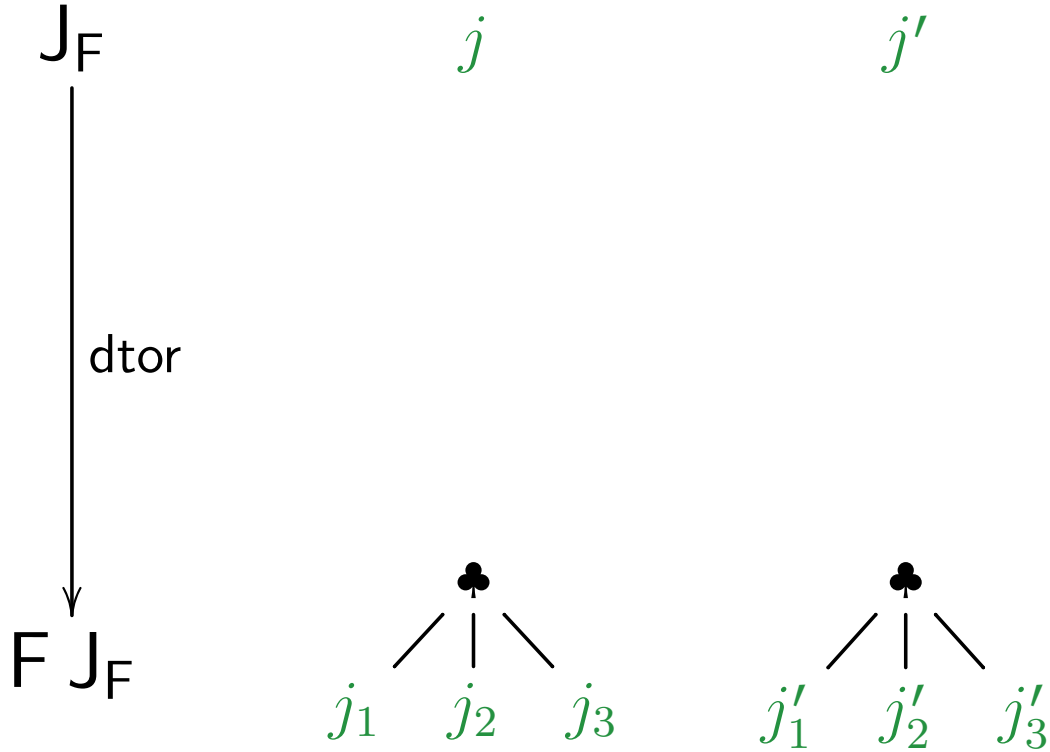

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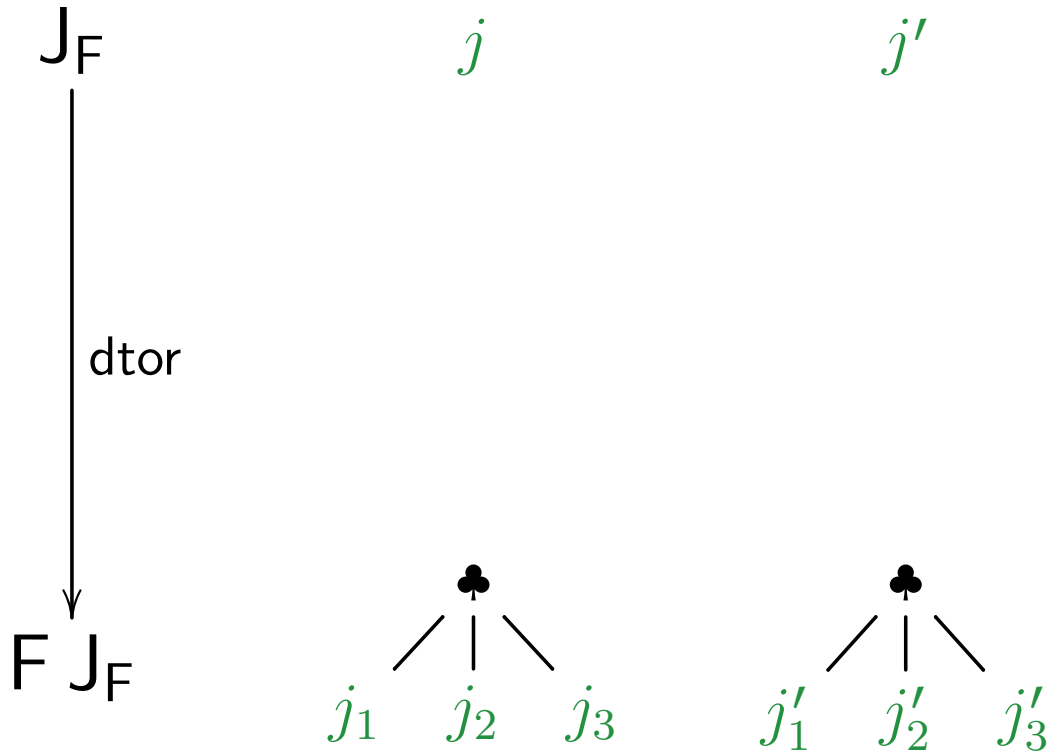
Back to the "Strategy" for Proving Equality



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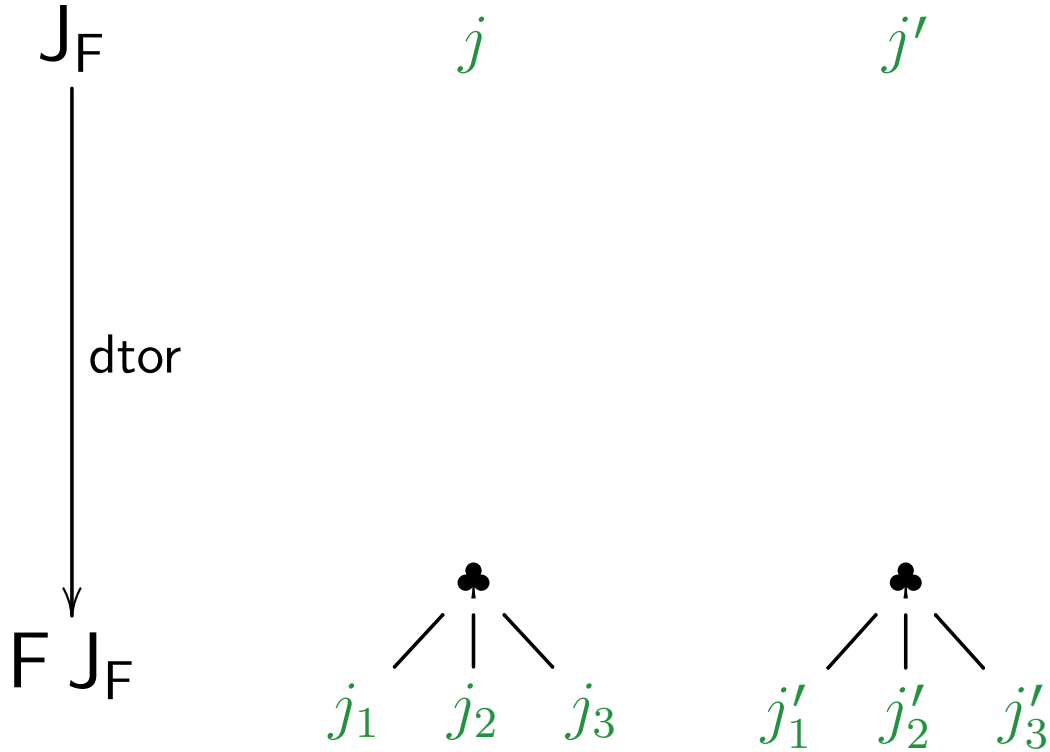

Summary: to prove $j = j'$,

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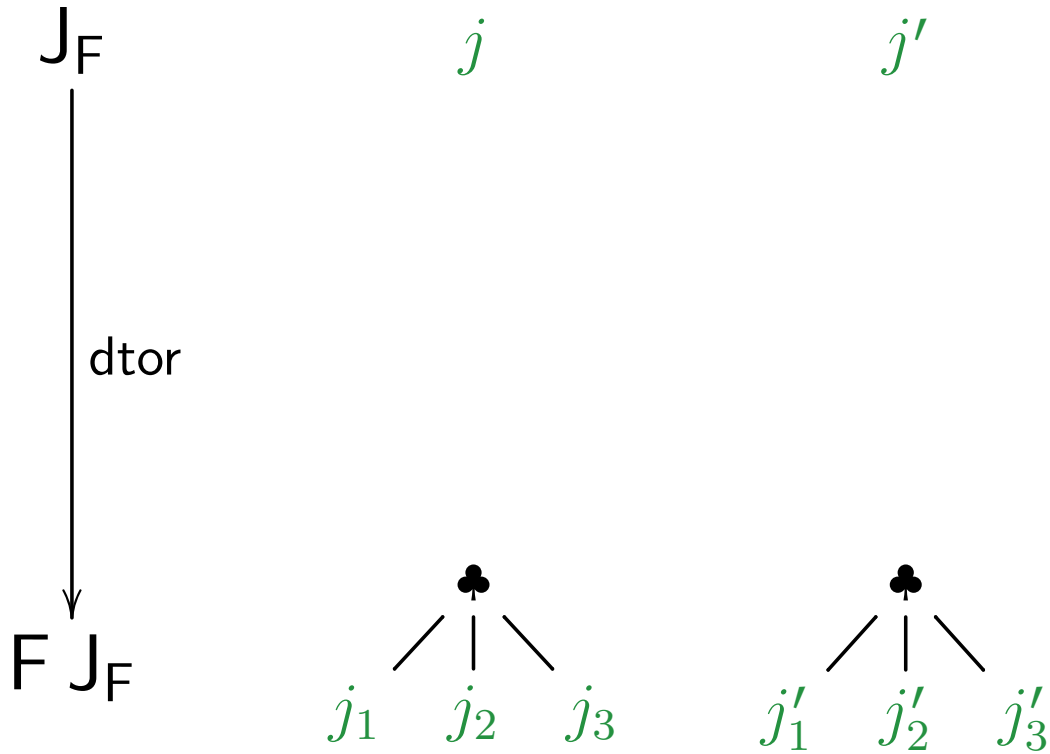

Summary: to prove $j = j'$, find F-bisimulation R with $R\ j\ j'$

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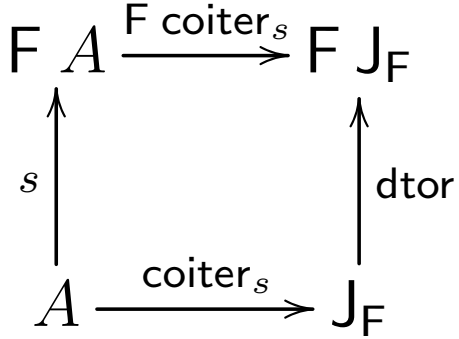

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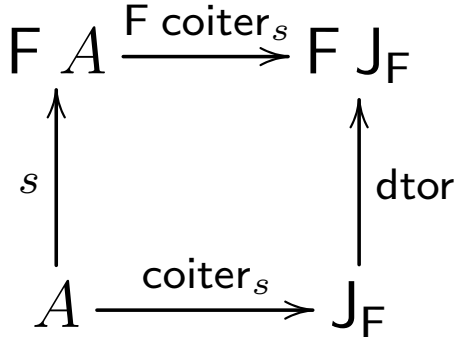

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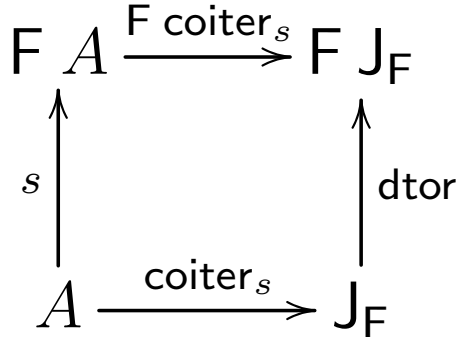

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$\mathsf{J_F}$ = the codatatype of F

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$$\begin{array}{ccc} \mathsf{F}\ A & \xrightarrow{\mathsf{F\ coiter}_s} & \mathsf{F}\ \mathsf{J_F} \\ {\scriptstyle s}\uparrow & & \uparrow{\scriptstyle \mathsf{dtor}} \\ A & \xrightarrow{\mathsf{coiter}_s} & \mathsf{J_F} \end{array}$$

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Example of Codatatype

Let B be a fixed set. F $A = B \times A$

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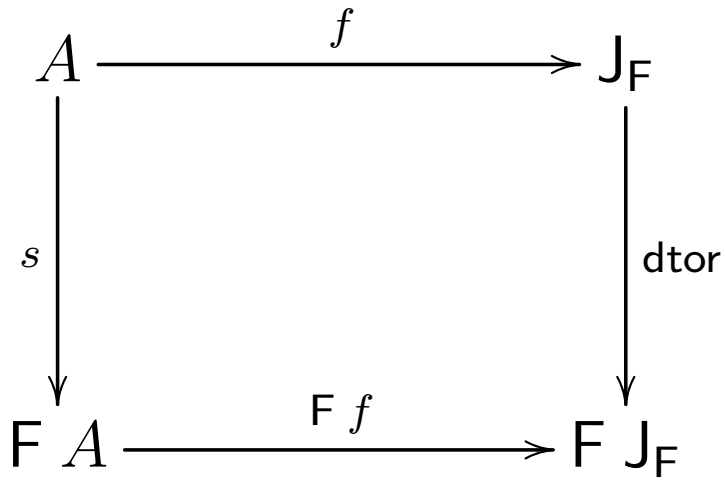
So $J_F = \text{Stream}_B$

Example of Codatatype: Stream

B fixed F A = $B \times A$ f = coiter$_s$ $\mathsf{J_F}$ = Stream$_\mathsf{B}$



dtor $(f\ a)$ = $(\mathsf{F}\ f)\ (s\ a)$

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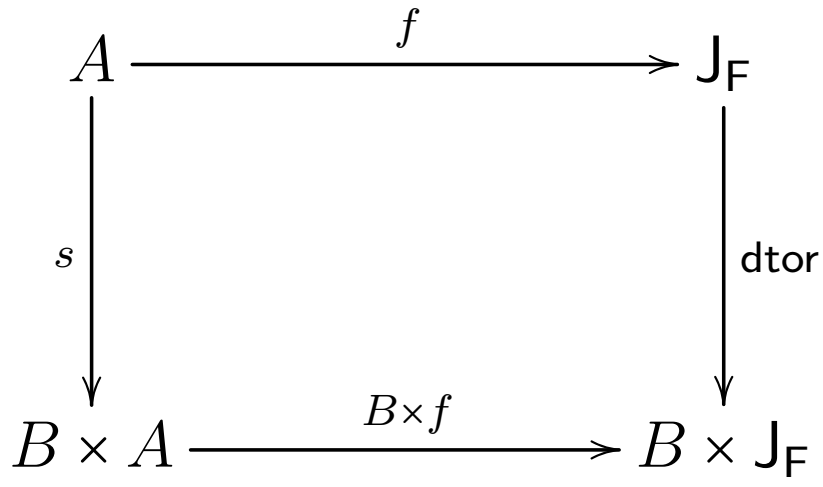

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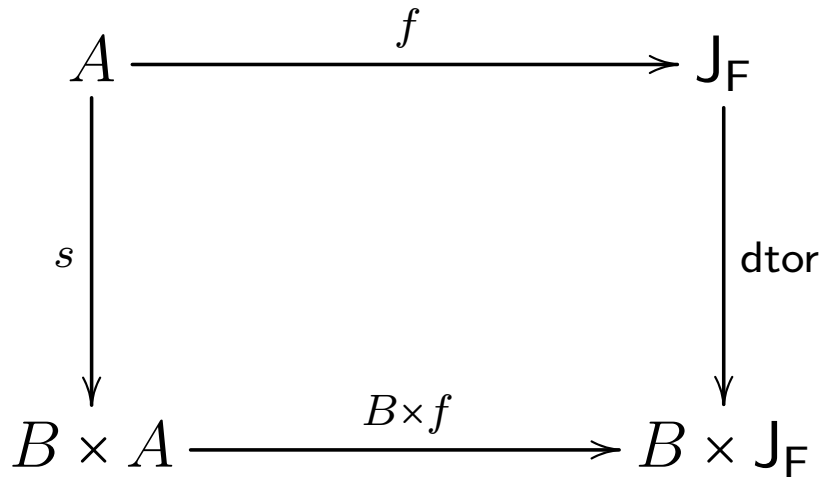

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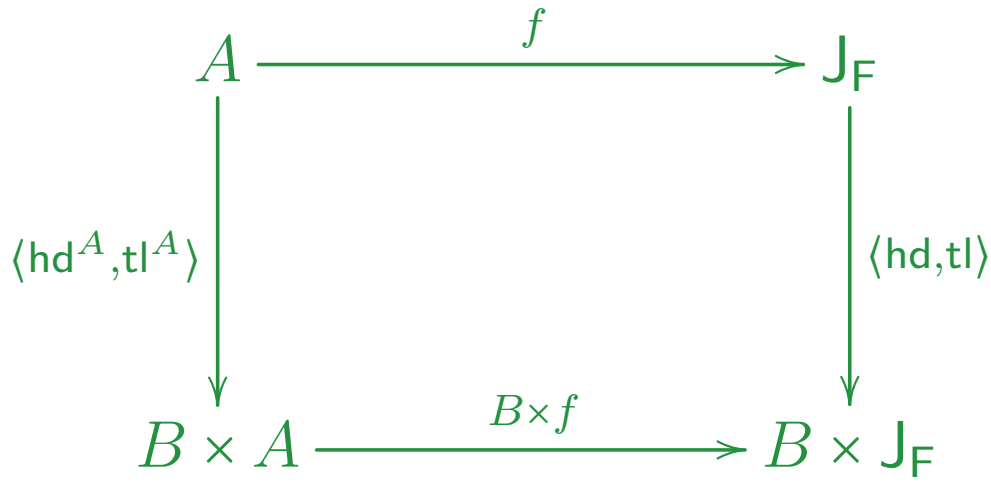

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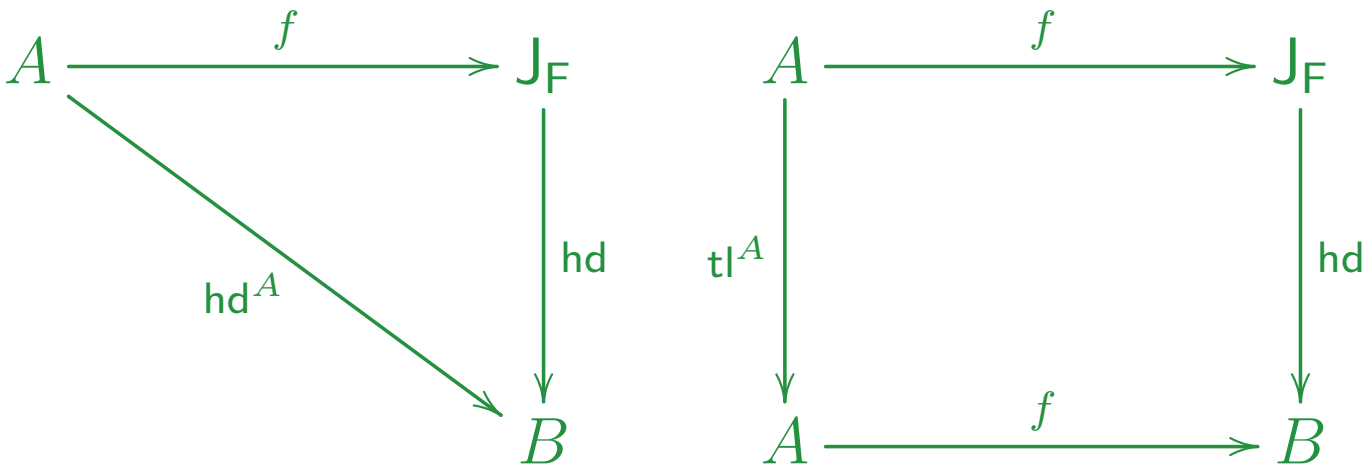

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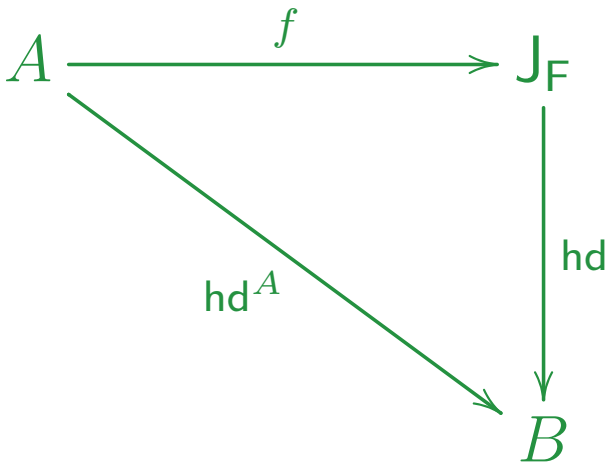

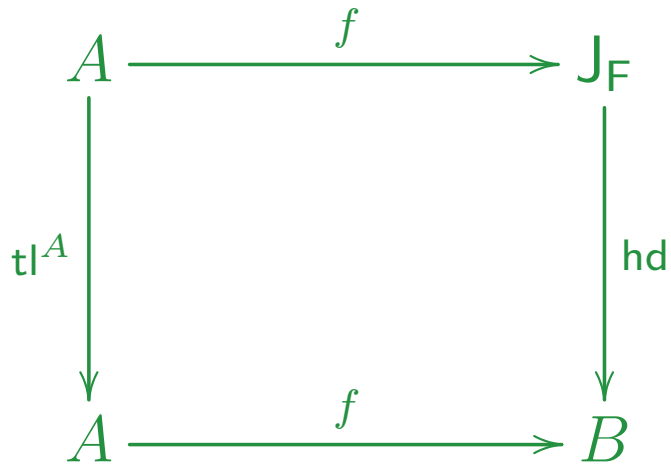

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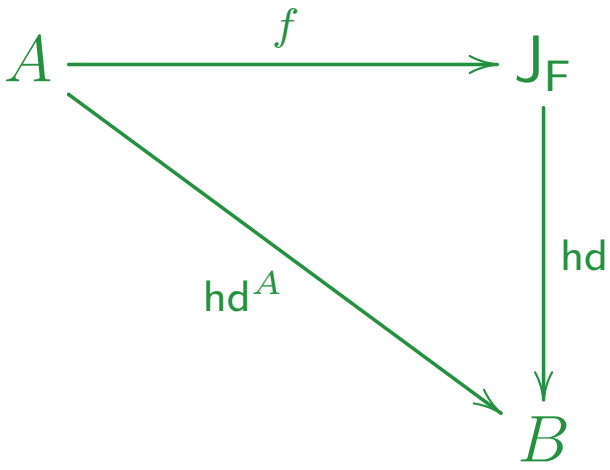

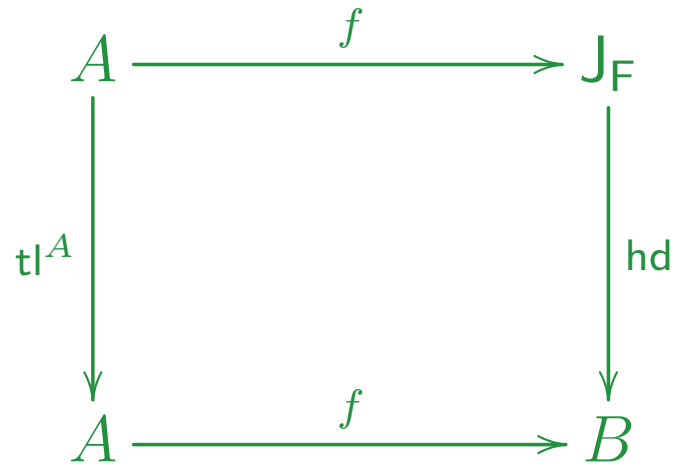

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Standard stream coiteration

Example of Codatatype: Stream

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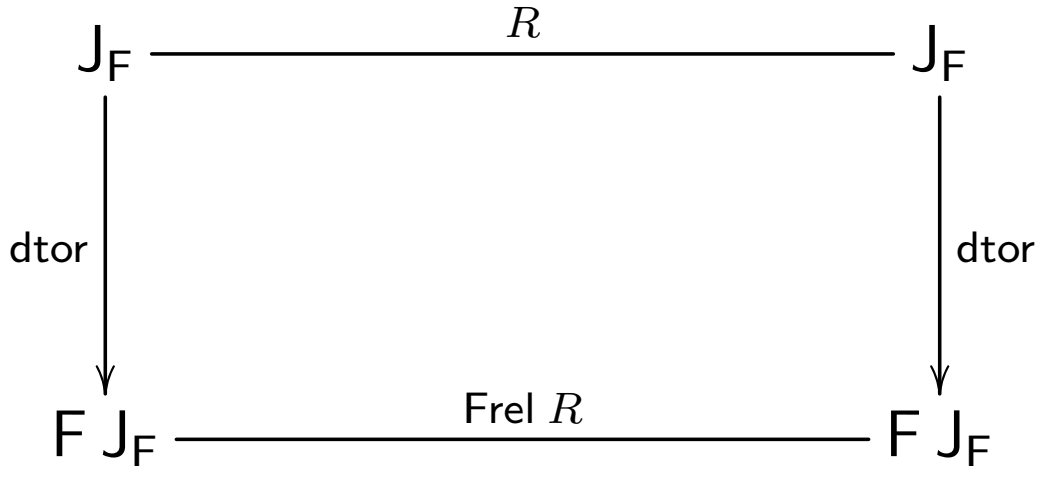

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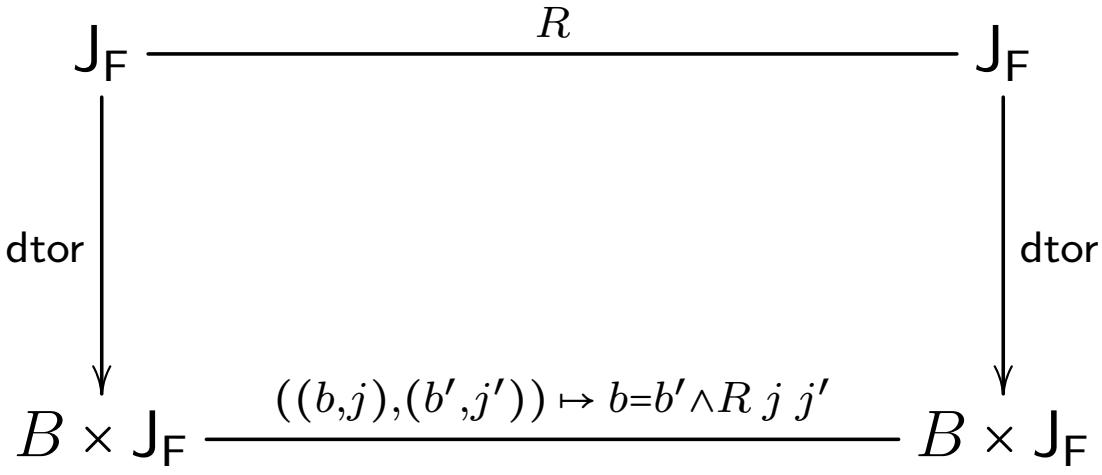

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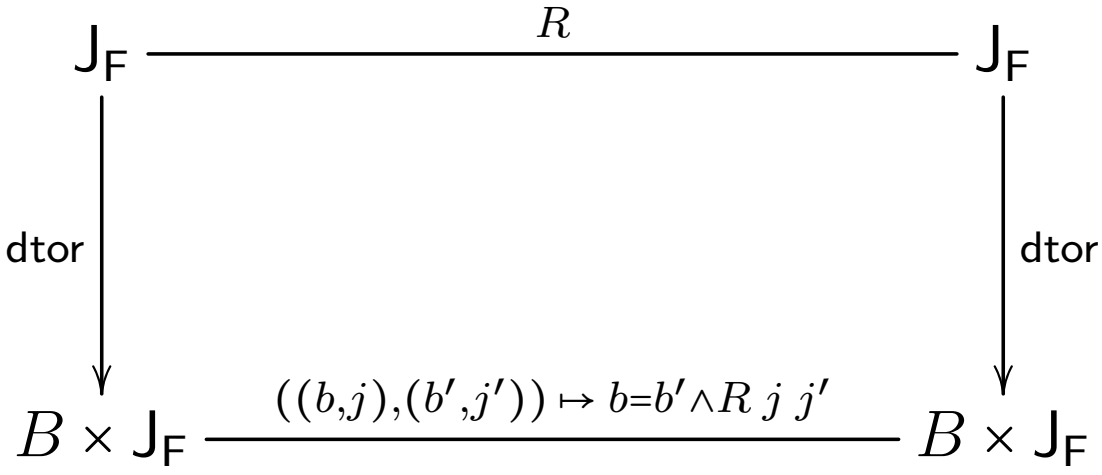

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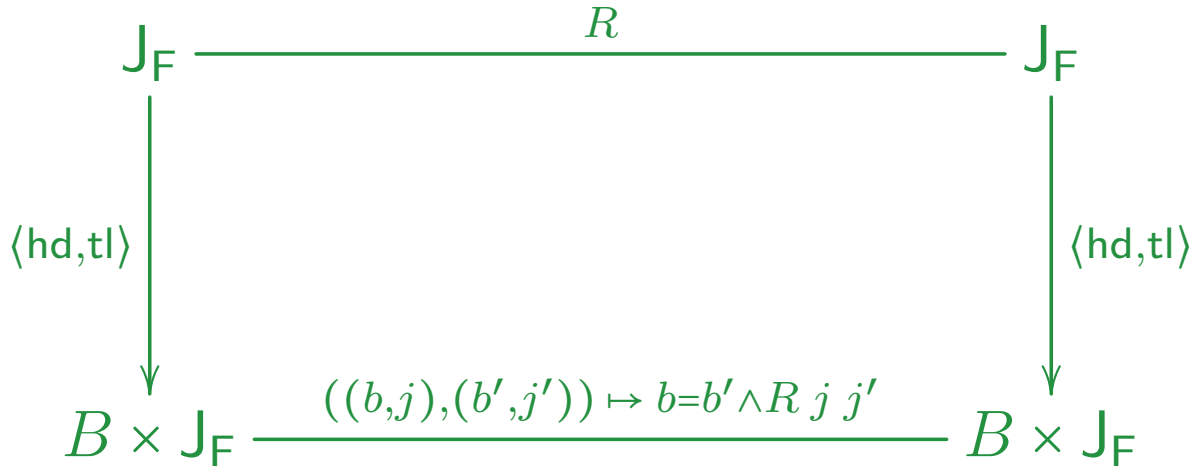

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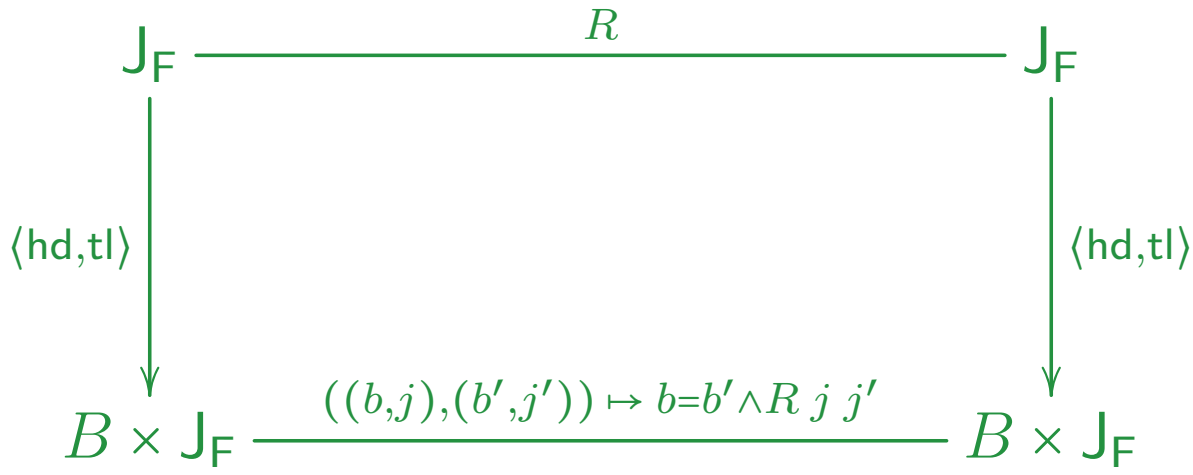

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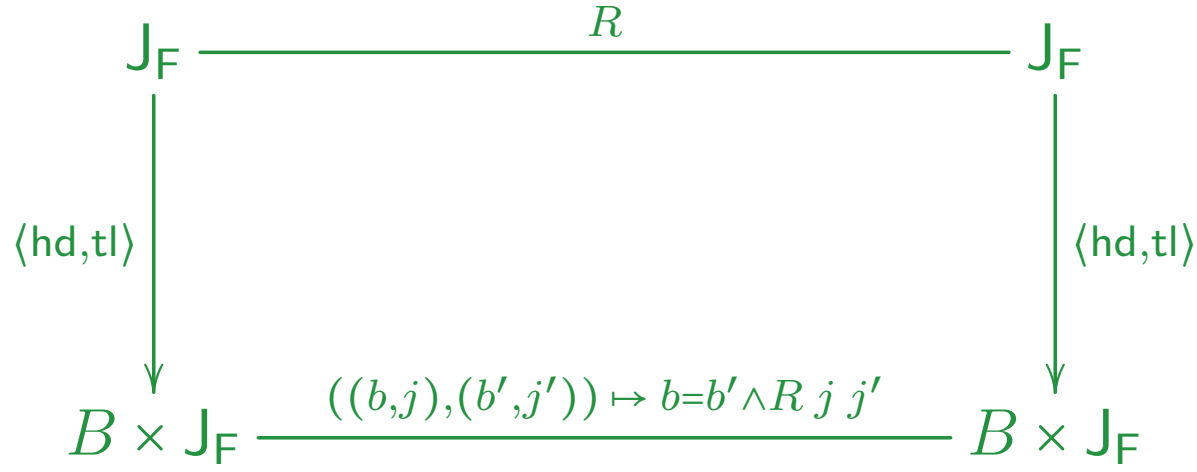

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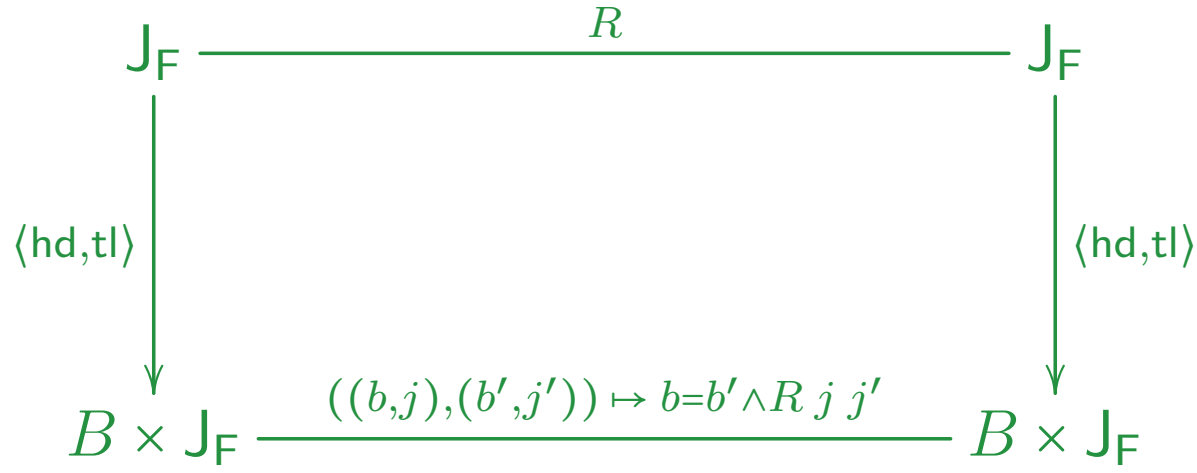

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Concrete Example of Coiteration

$$\mathsf{ev} : \mathsf{Stream}_B \to \mathsf{Stream}_B$$
$$\mathsf{hd}\ (\mathsf{ev}\ j) = \mathsf{hd}\ j$$
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$$\mathsf{odd} : \mathsf{Stream}_B \to \mathsf{Stream}_B$$
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$$\mathsf{zip} : \mathsf{Stream}_B \times \mathsf{Stream}_B \to \mathsf{Stream}_B$$
$$\mathsf{hd}\ (\mathsf{zip}\ (j_1, j_2)) = \mathsf{hd}\ j_1$$
$$\mathsf{tl}\ (\mathsf{zip}\ (j_1, j_2)) = \mathsf{zip}\ (j_2,\ \mathsf{tl}\ j_1)$$

Pattern-Based Incremental Coinduction

$\mathsf{zip}\,(\mathsf{ev}\,j, \mathsf{odd}\,j) = j$

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$\mathsf{hd}\,(\mathsf{zip}\,(\mathsf{ev}\,j, \mathsf{odd}\,j)) = \mathsf{hd}\,j$

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$\mathsf{hd}\,(\mathsf{zip}\,(\mathsf{ev}\,j, \mathsf{odd}\,j)) = \mathsf{hd}\,j$

Pattern-Based Incremental Coinduction

$\mathsf{zip}\,(\mathsf{ev}\,j, \mathsf{odd}\,j) = j$

$\mathsf{zip}\,(\mathsf{odd}\,j,\ \mathsf{tl}\,(\mathsf{ev}\,j)) = \mathsf{tl}\,j$

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Bisimulation: $R\ j_1\ j_2 \equiv$

$j_1 = \mathsf{zip}\ (\mathsf{ev}\ j_2, \mathsf{odd}\ j_2) \vee$

$\exists j.\ j_1 = \mathsf{zip}\ (\mathsf{odd}\ j,\ \mathsf{ev}\ (\mathsf{tl}\ (\mathsf{tl}\ j))) \wedge j_2 = \mathsf{tl}\ j$

Universe of (Co)Datatypes

Natural functors are a class of functors

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Nesting datatypes in codatatypes or vice versa
allows for modular specs of fancy data structures

Universe of (Co)Datatypes in Isabelle/HOL

The Isabelle system maintains a database of natural functors

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codatatype Stream A = Cons (hd : A) (tl : List A)

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In the background:

- Isabelle parses this into a natural functor: $B \mapsto B \times A$
- Then infers high-level principles for (co)recursion and (co)induction for Stream
- Finally, Stream is itself registered as a natural functor

Examples

datatype List A = Nil | Cons A (List A)

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codatatype Lazy_List A = Nil | Cons A (List A)

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datatype X A = Leaf A | Node (X A) (X A)

Examples

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Examples

datatype List A = Nil | Cons A (List A)

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Examples

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finite-depths, finitely branching A-labeled trees

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datatype List A = Nil | Cons A (List A)

codatatype Lazy_List A = Nil | Cons A (List A)

datatype BTree A = Leaf A | Node (X A) (X A)

datatype Tree A = Node A (Lazy_List (Tree A))

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datatype List A = Nil | Cons A (List A)

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Examples

datatype List A = Nil | Cons A (List A)

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A-labeled trees

Examples

datatype List A = Nil | Cons A (List A)

codatatype Lazy_List A = Nil | Cons A (List A)

datatype BTree A = Leaf A | Node (X A) (X A)

codatatype Tree A = Node A (Lazy_List (Tree A))

infinite-depths, infinitely branching unordered A-labeled trees

Examples

datatype List A = Nil | Cons A (List A)

codatatype Lazy_List A = Nil | Cons A (List A)

datatype BTree A = Leaf A | Node (X A) (X A)

codatatype Tree A = Node A (Countable_Set (Tree A))

infinite-depths, infinitely branching unordered A-labeled trees

Examples

datatype List A = Nil | Cons A (List A)

codatatype Lazy_List A = Nil | Cons A (List A)

datatype BTree A = Leaf A | Node (X A) (X A)

codatatype Tree A = Node A (Set_k (Tree A))

infinite-depths, infinitely branching unordered A-labeled trees

Examples

datatype List A = Nil | Cons A (List A)

codatatype Lazy_List A = Nil | Cons A (List A)

datatype BTree A = Leaf A | Node (X A) (X A)

codatatype Tree A = Node A (Multi_Set (Tree A))

infinite-depths, infinitely branching unordered A-labeled trees

Examples

datatype List A = Nil | Cons A (List A)

codatatype Lazy_List A = Nil | Cons A (List A)

datatype BTree A = Leaf A | Node (X A) (X A)

codatatype Tree A = Node A (Fuzzy_Set (Tree A))

infinite-depths, infinitely branching unordered A-labeled trees

Examples

datatype List A = Nil | Cons A (List A)

codatatype Lazy_List A = Nil | Cons A (List A)

datatype BTree A = Leaf A | Node (X A) (X A)

codatatype Tree A = Node A (PLUG_YOUR_OWN (Tree A))

infinite-depths, infinitely branching unordered A-labeled trees

Examples

datatype List A = Nil | Cons A (List A)

codatatype Lazy_List A = Nil | Cons A (List A)

datatype BTree A = Leaf A | Node (X A) (X A)

codatatype Tree A = Node A (PLUG_YOUR_OWN (Tree A))

infinite-depths, infinitely branching unordered A-labeled trees

- Show a set operator to be a (bounded) natural functor
- Register it
- Then Isabelle will allow nesting it in (co)datatype expressions

Examples

datatype X A =
    Elements (Finite_Set (X A))

Examples

```
datatype Hereditarily_Finite_Set A =
    Elements (Finite_Set (Hereditarily_Finite_Set A))
```

Examples

datatype Hereditarily_Finite_Set A =
    Elements (Finite_Set (Hereditarily_Finite_Set A))

. . . in the presence of the Foundation Axiom

Examples

codatatype Hereditarily_Finite_Set A =
    Elements (Finite_Set (Hereditarily_Finite_Set A))

. . . in the presence of Aczel's Anti-Foundation Axiom

Summary

Datatypes and codatatypes have intuitive representations in terms of Shape and Content

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Moreover, the abstract constructions have very concrete intuitions

The abstract reality can be very concrete

Relevant Literature

Our work:

Natural functors:
LICS'12, ESOP'15

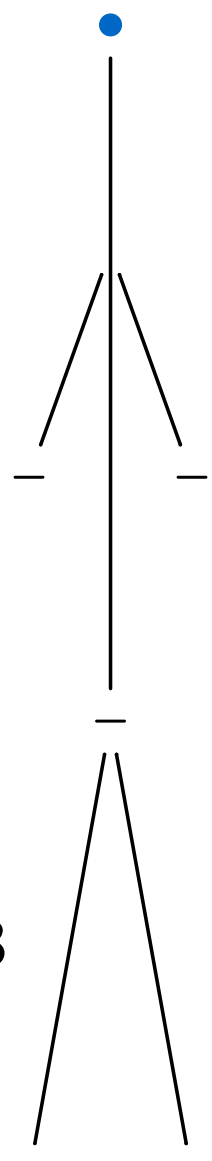
Flexible corecursion:
ICFP'15

Isabelle implementation:
ITP'14

Case study:
IJCAR'14,

Incremental coinduction:
FOSSACS'10

Non-free datatypes:
LICS'10, ICFP'11, CPP'13



Other people's work:

Isabelle/ZF codata (Paulson)

Containers
(Abbott, Altenkirch, Ghani)

Fibrations
(Hermida, Jacobs)

Flexible Corecursion
(Turi/Plotkin, Bartels, Jacobs, Milius, Hinze, Atkey/McBride)

Flexible Coinduction
(Rot, Bonsangue, Rutten, Silva, Roşu, Endrullis, Hendriks, Hur/Dreyer/Vafeiadis)

Corecursion in MiniAgda, Agda
(Abel)